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**Terms and Conditions governing the Online Equities Account ETF Giveaway**

- 1) This Online Equities Account ETF Giveaway (the "Promotion") is valid between 7 June 2022 and 31 July 2022 (both dates inclusive) or such other period (the "Promotion Period") as may be determined by OCBC Securities Private Limited ("OSPL") and/or Oversea-Chinese Banking Corporation Limited ("OCBC") at their absolute discretion.
- 2) Employees, Remisiers and Account Executives of OSPL and their immediate family members are not eligible to participate in this Promotion.
- 3) Only individual accounts opened by retail customers are eligible to participate in this Promotion. Corporate accounts will not be eligible to participate in this Promotion.
- 4) This Promotion is only applicable to new customers who open a new Online Equities Account via OCBC Digital Banking during the Promotion Period ("Eligible Customers", and each an "Eligible Customer"). Existing OSPL customers may not open a new Online Equities Account and shall not be eligible to participate in this Promotion.
- 5) Eligible Customers who place a single buy trade of at least S\$25 worth of units in the Lion-OCBC Securities Singapore Low Carbon ETF **as their first trade** ("Qualified Trade") during the Promotion Period via OCBC Digital Banking or iOCBC trading platform are eligible for this Promotion.
- 6) Subject to these terms and conditions and provided that the conditions set out in Clauses 2 to 5 are fulfilled, the first 1000 Eligible Customers will be entitled to receive up to S\$50 worth of units in the Lion-OCBC Securities Singapore Low Carbon ETF (the "Giveaway"). Buy-ins, CPF or SRS trades will not be considered as Qualified Trades.
- 7) Eligible Customers shall first be required to make payment for their Qualified Trade, including all the applicable fees and commission. The Giveaway will subsequently be credited into the Eligible Customers' Online Equities Accounts by 1 September 2022. OSPL may act either as agent of or principal to Eligible Customer (as the case may be) for its own accounts, or for the accounts of any other entity in which OSPL or any person within the OCBC Group has an interest, in respect of the Giveaway.
- 8) If trades are amalgamated, it will be calculated as one contract. "Amalgamation" means a consolidation or amalgamation of trades that meet the following conditions:
  - (a) Customers must have indicated "YES" for amalgamation on ALL trades;
  - (b) the amalgamated trades must be of the same trading day;
  - (c) the amalgamated trades must be of the same stock;
  - (d) the amalgamated trades must be of the same settlement currency;
  - (e) the amalgamated trades must be of the same action (e.g. buy action amalgamates with another buy action or sell action amalgamates with another sell action);
  - (f) the amalgamated trades must be of the same payment mode; and
  - (g) the amalgamated trades must be performed under the same trading account.
- 9) Customers will be disqualified from this Promotion if:
  - (a) his/her own account(s) with OSPL is/are closed (for whatever reason) before the Giveaway is credited;
  - (b) any of his/her accounts with OSPL or OCBC is reported for delinquency; or
  - (c) he/she has outstanding contra losses with OSPL which are overdue for more than 21 calendar days.
- 10) If any Eligible Customer is subsequently discovered to be disqualified or ineligible to participate in this Promotion or to receive the Giveaway, OSPL and/or OCBC reserve the

- right to (i) forfeit, withdraw or withhold the Giveaway at any time or (ii) (where the Giveaway has been credited and/or utilised) reclaim the Giveaway or request the relevant Eligible Customer to repay or compensate OSPL and/or OCBC in the amount equivalent to the Giveaway, to be determined by OSPL and/or OCBC at any time. No person shall be entitled to receive any payment or compensation from OSPL and/or OCBC should any Giveaway be forfeited, withdrawn, withheld or if any Giveaway is reclaimed by OSPL and/or OCBC or repay or compensate OSPL and/or OCBC for the relevant Giveaway for whatever reasons.
- 11) OSPL and/or OCBC may, at any time at its absolute discretion, without notice or assigning any reason therefore, (a) change the amount of the Giveaway or (b) replace or substitute the Giveaway with any other gift. OSPL and/or OCBC may also at any time at its sole and absolute discretion, without notice or assigning any reason therefor, terminate this Promotion or vary, supplement, amend or modify any one or more of these terms and conditions in any manner as it shall deem fit. Neither OSPL nor OCBC shall be liable to any person for any claims, costs, expenses, loss or damage suffered by any person as a result of the aforementioned matters.
  - 12) The Giveaway is strictly not transferable to any party whatsoever. Further, the Giveaway is also not exchangeable for other forms of credit or otherwise, unless otherwise permitted by OSPL and/or OCBC in its sole and absolute discretion.
  - 13) Neither OSPL nor OCBC shall be responsible for any loss to or damage incurred or suffered by any customers or any other person in connection with this Promotion, howsoever arising, including, without limitation, any error in computing trade commissions and trades, any breakdown or malfunction in any computer system or equipment and any notice which is misdirected or lost in the post.
  - 14) The eligibility of each customer to participate in this Promotion and/or to receive the Giveaway shall be determined at the absolute discretion of OSPL and/or OCBC. The decision of OSPL and/or OCBC on all matters relating to the Promotion shall be final and no correspondence or appeal will be entertained.
  - 15) In the event of any inconsistency between these terms and conditions and any brochure, marketing or promotional material relating to this Promotion, these terms and conditions shall prevail.
  - 16) These terms and conditions shall be governed by the laws of Singapore and the participants in this Promotion hereby irrevocably submit to the exclusive jurisdiction of the courts of Singapore.
  - 17) A person who is not a party to any agreement governed by these terms and conditions shall have no right under the Contracts (Rights of Third Parties) Act (Cap 53B) to enforce any of these terms and conditions. Without prejudice to the generality of the above, the consent of any third party is not required for any variation (including any release or compromise of any liability) or termination of these terms and conditions, notwithstanding any term herein to the contrary.
  - 18) Without prejudice to these terms and conditions, all participants in this Promotion expressly and irrevocably permit and authorise OSPL and/or OCBC to reveal and disclose information regarding their particulars to the parties involved in organising, promoting and conducting this Promotion.

**Important Notes:**

You will need to have an account with OCBC Securities Private Limited (“OSPL”) in order to make investments, trades and/or transactions with OSPL via OCBC Digital. The Online Equities Account, and all investments, trades and/or transactions (collectively, “Transactions”) made thereunder via OCBC Digital are operated, and made available to you directly, by OSPL (notwithstanding that they may incorporate the OCBC Digital mark, logo trademarks and/or other OCBC trademarks). The access and use of the Online Equities Account and all Transactions made thereunder via OCBC Digital are made directly with OSPL, and are subject to its terms and conditions. Under no circumstances will OCBC be responsible or liable for the provision, access or use of the Online Equities Account, and/or any of the Transactions made thereunder via OCBC Digital.

Trading in securities can be very risky, and you may lose all or more than the amount invested or deposited. Where necessary, please seek advice from an independent financial adviser regarding the suitability of any trade or investment product taking into account your investment objectives, financial situation or particular needs before making a commitment to trade or purchase the investment product. You should consider carefully and exercise caution in making any trading decision whether or not you have received advice from any financial adviser. Neither OSPL nor OCBC undertake an obligation to update any information provided herein or to correct any inaccuracy that may become apparent at a later time. Neither OSPL nor OCBC shall be responsible for any loss or damage howsoever arising, directly or indirectly, as a result of any person acting on any information provided herein.

You should also read the relevant prospectus and/or profile statement (a copy of which may be obtained from the relevant fund manager or any of its approved distributors), prior to any trading or investment decision. In relation to collective investment schemes, the value of the units and the income accruing therefrom, if any, may rise or fall. For funds that are listed on an approved exchange, investors are not allowed to redeem their units in those funds with the manager, except under certain specified conditions. The listing of the units of those funds on any approved exchange does not guarantee a liquid market for the units. The indicative distribution rate may not be achieved and is not an indication, forecast, or projection of the future performance of the Fund.

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