

What is this form about?

This is a self-certification form ("Certification Form") that you will need to complete and provide to Oversea-Chinese Banking Corporation Limited ("OCBC Bank" or "the Bank") for the purpose of complying with relevant tax regulations to enable the automatic exchange of financial account information ("AEOI"). The data collected may be reported by the Bank, directly or indirectly, to relevant tax authorities.

The Bank does not and cannot provide any tax advice. If you have any questions about how to complete this form, please consult your tax advisor. This form is intended to assist the Customer and the Bank in identifying and classifying each account holder's tax status and to complete the documentation necessary for compliance with relevant AEOI tax regulations, including the US Foreign Account Tax Compliance Act ("FATCA") and the OECD Common Reporting Standard for Automatic Exchange of Financial Account Information in Tax Matters, as implemented in relevant jurisdictions ("CRS").

If you are a Singapore government entity or is wholly owned by a Singapore government entity, there is no need for you to complete this form.

Which form should you complete?

Form	Who should be completing this form?
Entity Tax Declaration Form	<ul style="list-style-type: none">Business entities (e.g. Pte Ltd & Partnerships that are non-financial entities)Sole-proprietorships owned by <u>business entity</u>Foreign central banks, foreign government entities & international organisationsNon-profitable organisations (including charitable trusts)Financial Institution (i.e. depository, custodial, investment entity or specified insurance company)Legal arrangements similar to trusts
Individual Tax Declaration Form	<ul style="list-style-type: none">Sole-proprietorships owned by an <u>individual</u>

What you must do?

For Singapore customer(s), please mail the completed forms to us, using the Business Reply Service Slip at the back of the form.

OR

For Overseas customer(s), please mail the completed forms to:

OVERSEA-CHINESE BANKING CORPORATION LIMITED

Account Services

BRAS BASAH POST OFFICE

Locked Bag Service No. 8

Singapore 911886

(This page is meant to be left blank intentionally.)

All information is required unless stated.

Entity Tax Declaration Form

- Business entities (e.g. Pte Ltd & Partnerships that are non-financial entities)
- Sole-proprietorships owned by business entity
- Foreign central banks, foreign government entities & international organisations
- Non-profitable organisations (including charitable trusts)
- Financial Institution (i.e. depository, custodial, investment entity or specified insurance company)
- Legal arrangements similar to trusts

1 Your business details

► If you are a Sole-proprietorship owned by business entity, please provide the Sole-proprietorship owner's (the business entity's) details.

Registered name ("the Entity")

Business registration number

Registered Address

Country

Postal Code

► If you are a Sole-proprietorship owned by business entity, please provide the Sole-proprietorship's details as well.

Registered name ("the Sole-proprietorship")

Business registration number

2 Entity Type ► Select by ticking one of the following statements that best describes the Entity

- If you are a Sole-proprietorship owned by business entity, please provide the Sole-proprietorship owner's (the business entity's) FATCA and CRS status.
- If the Entity is not a Financial Institution, please refer to options A1 to A5.
- If the Entity is a Financial Institution, please refer to options B1 to B4.

A. The Entity is not a Financial Institution¹

Option	Description	CRS Status	FATCA Status (not applicable for U.S. person)
A1. <input type="checkbox"/>	<p>Active Business</p> <ul style="list-style-type: none"> derives less than 50% of its gross income (for the previous calendar year) from passive income² such as investments, dividends, interest, rents or royalties; and has less than 50% of its assets being used to produce passive income² for the previous calendar year. <p>OR</p> <p>Charity and Non-Profit Organisation³</p> <ul style="list-style-type: none"> is a Charity or Non-Profit Organisation (including charitable trusts) <p>OR</p> <p>Entities in Liquidation / Reorganisation</p> <ul style="list-style-type: none"> is not a Financial Institution¹ in the past five years, and is in the process of liquidating its assets, or is reorganising with the intent to continue or recommence operations in a business other than that of a Financial Institution¹ <p>OR</p> <p>Holding Group Service Company</p> <ul style="list-style-type: none"> substantially all of its activities consist of holding (in whole or in part) the outstanding stock of, and providing financing and services to, one or more subsidiaries that engage in trades or businesses other than the business of a Financial Institution¹, and does not operate as an investment fund, such as private equity fund, venture capital fund, leveraged buyout fund or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets <p>OR</p> <p>Treasury / Financing Centre of Corporate Groups</p> <ul style="list-style-type: none"> primarily engages in financing and hedging transactions with, or for, Related Entities⁵ that are not Financial Institutions¹, and the group of such Related Entities⁵ is primarily engaged in a business other than that of a Financial Institution, and does not provide financing or hedging services to any entity that is not a Related Entity⁵ <p>► Section 5: Controlling Person(s) is <u>NOT</u> required</p>	Active NFE	Active NFFE

Option	Description	CRS Status	FATCA Status (not applicable for U.S. person)
A2. <input type="checkbox"/>	<p>Public Sector Entity</p> <ul style="list-style-type: none"> • is any one of the following: <ul style="list-style-type: none"> i. Foreign Central Banks ii. Foreign Government Agencies / Public Sector Entities iii. Foreign Statutory Boards / Owned by Statutory Boards iv. Foreign Embassies / Trade Representative Offices owned by Governmental Entities v. International Organisations <p>► Section 5: Controlling Person(s) is <u>NOT</u> required</p>	<i>Governmental Entity</i>	
A3. <input type="checkbox"/>	<p>Publicly Listed Company and its Related Entity⁵</p> <ul style="list-style-type: none"> • its stock is regularly traded on one or more established securities markets⁴, or • is a Related Entity⁵ (in other words, a member) of an entity whose stock of which is regularly traded on an established securities market <p>If yes, please indicate the required information below:</p> <p>Name of the securities market</p> <p>_____</p> <p>Name of entity regularly traded in above stated securities market (applicable only if the Entity is a Related Entity⁵)</p> <p>_____</p> <p>► Section 5: Controlling Person(s) is <u>NOT</u> required</p>	<i>Active NFFE</i> <i>Active NFE - Regularly Traded</i>	
A4. <input type="checkbox"/>	<p>Passive Investments</p> <ul style="list-style-type: none"> • derives more than 50% of its gross income (for the previous calendar year) from passive income² such as investments, dividends, interests, rents or royalties; or • have more than 50% of its assets being used to produce passive income³ for the previous calendar year 	<i>Passive NFE</i>	<i>Passive NFFE</i>
A5. <input type="checkbox"/>	<ul style="list-style-type: none"> • is none of the above. Please seek professional tax advice and specify your classification below. <p>_____</p>	<i>Classification (please specify):</i> <i>Please complete the relevant US IRS Form W-8/W-9 and submit it together with this form.</i>	

OR

Option	Description	CRS Status	FATCA Status
B1. <input type="checkbox"/>	<p>Financial Institution – Depository Institution, Custodial Institution or Specified Insurance Company</p> <p>► Sections 3, 4 & 5 are <u>NOT</u> required</p>	<i>FI</i>	
B2. <input type="checkbox"/>	<p>Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution</p> <p><i>E.g. Trust that is an Investment Entity where the trustee is located in a Non-Participating Jurisdiction</i></p>	<i>FI – Investment Entity (Non-Participating)</i>	<i>Please complete the relevant US IRS Form W-8/W-9 and submit it together with this form.</i>
B3. <input type="checkbox"/>	<p>Other Investment Entity (including Investment Entity located in a Participating Jurisdiction and managed by another Financial Institution)</p> <p><i>E.g. Trust including trustee-documented trust that is an Investment Entity where the trustee is located in a Participating Jurisdiction OR Asset Manager.</i></p> <p>► Sections 3, 4 & 5 are <u>NOT</u> required</p>	<i>FI – Investment Entity (Other)</i>	<i>Please complete the relevant US IRS Form W-8/W-9 and submit it together with this form.</i>
B4. <input type="checkbox"/>	<p>Trust that are not professionally managed by another Financial Institution</p> <p>OR</p> <p>Non-trust entities with arrangements similar to that of a trust.</p>	<i>Passive NFE</i>	<i>Passive NFFE</i>

3 Your business's tax residency(ies)⁶ ▶ Tick one or more

- ▶ If you are a Sole-proprietorship owned by business entity, please provide the Sole-proprietorship owner's tax residency(ies).
- ▶ If you are not a tax resident in any jurisdiction (e.g. fiscally transparent) indicate the jurisdiction in which your place of effective management is situated.
- ▶ If you have more than three countries/jurisdictions, please attach a separate sheet.

The Entity is a tax resident of

Singapore ▶ Refer to business registration number for the taxpayer identification number (TIN).

If not, please specify _____

United States of America ▶ Please also complete the IRS W-9 form.

Others ▶ Please complete the table below.

Country of Tax Residence ⁶	Taxpayer Identification No. (TIN)	If no TIN, indicate reason ⁷ ▶ Tick only one
		<input type="checkbox"/> Country does not issue TIN. <input type="checkbox"/> Country does not require the collection of TIN. <input type="checkbox"/> Others ▶ Please provide an explanation here _____
		<input type="checkbox"/> Country does not issue TIN. <input type="checkbox"/> Country does not require the collection of TIN. <input type="checkbox"/> Others ▶ Please provide an explanation here _____
		<input type="checkbox"/> Country does not issue TIN. <input type="checkbox"/> Country does not require the collection of TIN. <input type="checkbox"/> Others ▶ Please provide an explanation here _____

4 Indicators of other tax residency(ies)⁶

▶ If your country(ies) of incorporation / mailing address / domicile / trustee address differs from your declared country(ies) of tax residence in part 3, please select the applicable indicator(s) and provide a reasonable explanation(s).

▶ This includes the sole-proprietorship's details if you are a sole-proprietorship owned by business entity.

Country(ies) of incorporation / registration

Tick One

- The entity's place of effective management differs from its place of incorporation / registration.
- The entity is a branch and its head office is tax resident in the declared country of tax residence.
- The sole-proprietorship is set up by a service provider⁸
- Others ▶ Please provide an explanation here

▶ If your registered address(es) differs from your country incorporation / registration and is located in a jurisdiction that is not your tax residence, please provide an explanation below:

Tick One

- This is the address of my service provider⁸
- Others ▶ Please provide an explanation here

Country(ies) of mailing addresses

Tick One

- This is the address of my service provider⁸ / director / shareholder / authorised signatory.
- Others ▶ Please provide an explanation here

Country of domicile (place of business)

Tick One

- This is where my service provider⁸ is located
- My tax residency is determined by the place of incorporation. (only applicable if country of incorporation is one of the tax residency(ies)).
- Others ▶ Please provide an explanation here

Country(ies) of trustee address (only applicable if entity is a trust)

Tick One

- The address provided is not in the same country as the Trustee's tax residency.
- Others ▶ Please provide an explanation here

5 Controlling Person(s)⁹

► You are only required to complete this section if you have selected one of the following entity types in section 2:

- A4 – Passive NFE/NFFE
- B2 – FI – Investment Entity (Non-Participating)
- B4 – Passive NFE/NFFE

► If you have more than three controlling persons, please attach a separate sheet.

Controlling Person 1

A Controlling Person's details

Full Name

NRIC/Passport Number

Date of birth ► DD / MM / YY

____ / ____ / ____

Residential Address

Country

Postal code

____ / ____ / ____

B Controlling Person's tax residency(ies)⁶ ► Tick one or more

► If you have more than three countries/jurisdictions, please attach a separate sheet.

I am a tax resident of

- Singapore ► Refer to NRIC/FIN as attached. If TIN is not your NRIC/FIN, please specify: _____
- United States of America ► My TIN is _____
- Others ► Please complete the table below.

Country of Tax Residence ⁶	Taxpayer Identification No. (TIN)	If no TIN, indicate reason ⁷ ► Tick only one
		<input type="checkbox"/> Country does not issue TIN. <input type="checkbox"/> Country does not require the collection of TIN. <input type="checkbox"/> No tax obligation (e.g. minor, retiree, new citizen) <input type="checkbox"/> Others ► Please provide an explanation here _____
		<input type="checkbox"/> Country does not issue TIN. <input type="checkbox"/> Country does not require the collection of TIN. <input type="checkbox"/> No tax obligation (e.g. minor, retiree, new citizen) <input type="checkbox"/> Others ► Please provide an explanation here _____
		<input type="checkbox"/> Country does not issue TIN. <input type="checkbox"/> Country does not require the collection of TIN. <input type="checkbox"/> No tax obligation (e.g. minor, retiree, new citizen) <input type="checkbox"/> Others ► Please provide an explanation here _____

C Country of address outside tax residency(ies)⁶

► If your country of residential address differs from your declared country(ies) of tax residence above,

- Please provide a passport, nationality identity card, or a government issued identification document of at least one of the countries which you are a tax resident of.
- Please provide a reasonable explanation below:

Tick One

- This is one of my many addresses OR the address of a family member / relative / trusted acquaintance (e.g. professional advisor / accountant / personal assistant).
- Others ► Please provide an explanation here

D Controlling person type¹⁰ ► Tick only one

Legal Person

► Only applicable for entity type A4 / B2 / B4

- Control by ownership
 Senior managing official
 Control by other means

Trust

► Only applicable for entity type B2 / B4

- Settlor Beneficiary
 Trustee Others
 Protector

Legal Arrangement (Non-trust)

► Only applicable for entity type B2 / B4

- Settlor-equivalent Beneficiary-equivalent
 Trustee-equivalent Others
 Protector-equivalent

Controlling Person 2

A Controlling Person's details

Full Name

NRIC/Passport Number

Date of birth ▶ DD / MM / YY

_____ / _____ / _____

Residential Address

Country

Postal code

B Controlling Person's tax residency(ies)⁶ ▶ Tick one or more

► If you have more than three countries/jurisdictions, please attach a separate sheet.

I am a tax resident of

- Singapore ▶ Refer to NRIC/FIN as attached. If TIN is not your NRIC/FIN, please specify: _____
- United States of America ▶ My TIN is _____
- Others ▶ Please complete the table below.

Country of Tax Residence ⁶	Taxpayer Identification No. (TIN)	If no TIN, indicate reason ⁷ ▶ Tick only one
		<input type="checkbox"/> Country does not issue TIN. <input type="checkbox"/> Country does not require the collection of TIN. <input type="checkbox"/> No tax obligation (e.g. minor, retiree, new citizen) <input type="checkbox"/> Others ▶ Please provide an explanation here _____
		<input type="checkbox"/> Country does not issue TIN. <input type="checkbox"/> Country does not require the collection of TIN. <input type="checkbox"/> No tax obligation (e.g. minor, retiree, new citizen) <input type="checkbox"/> Others ▶ Please provide an explanation here _____
		<input type="checkbox"/> Country does not issue TIN. <input type="checkbox"/> Country does not require the collection of TIN. <input type="checkbox"/> No tax obligation (e.g. minor, retiree, new citizen) <input type="checkbox"/> Others ▶ Please provide an explanation here _____

C Country of address outside tax residency(ies)⁶

► If your country of residential address differs from your declared country(ies) of tax residence above,

- i. Please provide a passport, nationality identity card, or a government issued identification document of at least one of the countries which you are a tax resident of.
- ii. Please provide a reasonable explanation below:

Tick One

- This is one of my many addresses OR the address of a family member / relative / trusted acquaintance (e.g. professional advisor / accountant / personal assistant).
 Others ▶ Please provide an explanation here

D Controlling person type¹⁰ ▶ Tick only one

Legal Person

► Only applicable for entity type A4 / B2 / B4

- Control by ownership
 Senior managing official
 Control by other means

Trust

► Only applicable for entity type B2 / B4

- Settlor Beneficiary
 Trustee Others
 Protector

Legal Arrangement (Non-trust)

► Only applicable for entity type B2 / B4

- Settlor-equivalent Beneficiary-equivalent
 Trustee-equivalent Others
 Protector-equivalent

Controlling Person 3

A Controlling Person's details

Full Name

NRIC/Passport Number

Date of birth ▶ DD / MM / YY

_____ / _____ / _____

Residential Address

Country

Postal code

B Controlling Person's tax residency(ies)⁶ ▶ Tick one or more

► If you have more than three countries/jurisdictions, please attach a separate sheet.

I am a tax resident of

- Singapore ▶ Refer to NRIC/FIN as attached. If TIN is not your NRIC/FIN, please specify: _____
- United States of America ▶ My TIN is _____
- Others ▶ Please complete the table below.

Country of Tax Residence ⁶	Taxpayer Identification No. (TIN)	If no TIN, indicate reason ⁷ ▶ Tick only one
		<input type="checkbox"/> Country does not issue TIN. <input type="checkbox"/> Country does not require the collection of TIN. <input type="checkbox"/> No tax obligation (e.g. minor, retiree, new citizen) <input type="checkbox"/> Others ▶ Please provide an explanation here _____
		<input type="checkbox"/> Country does not issue TIN. <input type="checkbox"/> Country does not require the collection of TIN. <input type="checkbox"/> No tax obligation (e.g. minor, retiree, new citizen) <input type="checkbox"/> Others ▶ Please provide an explanation here _____
		<input type="checkbox"/> Country does not issue TIN. <input type="checkbox"/> Country does not require the collection of TIN. <input type="checkbox"/> No tax obligation (e.g. minor, retiree, new citizen) <input type="checkbox"/> Others ▶ Please provide an explanation here _____

C Country of address outside tax residency(ies)⁶

► If your country of residential address differs from your declared country(ies) of tax residence above,

- i. Please provide a passport, nationality identity card, or a government issued identification document of at least one of the countries which you are a tax resident of.
- ii. Please provide a reasonable explanation below:

Tick One

- This is one of my many addresses OR the address of a family member / relative / trusted acquaintance (e.g. professional advisor / accountant / personal assistant).
 Others ▶ Please provide an explanation here

D Controlling person type¹⁰ ▶ Tick only one

Legal Person

► Only applicable for entity type A4 / B2 / B4

- Control by ownership
 Senior managing official
 Control by other means

Trust

► Only applicable for entity type B2 / B4

- Settlor Beneficiary
 Trustee Others
 Protector

Legal Arrangement (Non-trust)

► Only applicable for entity type B2 / B4

- Settlor-equivalent Beneficiary-equivalent
 Trustee-equivalent Others
 Protector-equivalent

6 Agreement ▶ To be signed by person(s) authorised to open the Account(s)

I/We confirm that all the information I/we have provided is true, accurate and complete and I/we have not withheld any information. I/We undertake to keep OCBC Bank informed in writing, within 30 days, of any changes in circumstances that may cause any of the information in this Certification Form to become incorrect or incomplete and also undertake to provide any other additional information as may be required by OCBC Bank and/or applicable law.

I/We agree and consent to the terms of OCBC Bank's (I) Data Protection Policy, (II) FATCA Policy and (III) CRS Policy, available at www.ocbc.com/business-policies or upon request, which may require the reporting of information supplied by me/us to relevant tax authorities.

The persons named and signing below are duly authorised to complete and sign this Certification Form for and on behalf of the Entity and the Controlling Person(s) (if applicable) and to submit this Certification Form to OCBC Bank.

Signature

Signature

Signature

Name ▶ As per NRIC/Passport

NRIC/Passport number

Date ▶ DD / MM / YY

Name ▶ As per NRIC/Passport

NRIC/Passport number

Date ▶ DD / MM / YY

Name ▶ As per NRIC/Passport

NRIC/Passport number

Date ▶ DD / MM / YY

1. **Financial Institution** means a Custodial Institution, a Depository Institution, an Investment Entity, or a Specified Insurance Company.
 - (a) **Custodial Institution** means any entity that **holds**, as a substantial portion of its business, **financial assets for the account of others**.
 - (b) **Depository Institution** means any entity that **accepts deposits** in the ordinary course of a **banking** or similar business.
 - (c) **Investment Entity** means any entity that conducts as a business (or is managed by an entity that conducts as a business) one or more of the three following activities or operations for or on behalf of a customer:
 - i. trading in money market instruments; foreign exchange; exchange, interest rate and index instruments; transferable securities; or commodity futures trading;
 - ii. individual and collective portfolio management; or
 - iii. otherwise investing, administering, or managing funds or money on behalf of other persons.
 - (d) **Specified Insurance Company** means any entity that is an insurance company (or the holding company of an insurance company) that issues, or is obligated to make payments with respect to, a Cash Value insurance Contract or an Annuity Contract.
2. **Passive income** refers generally to the portion of gross income that consists of: dividends (including substitute dividend payments); interest; income equivalent to interest; rents and royalties (other than those derived in the active conduct of a trade or business conducted, at least in part, by employees); annuities; net gains from the sale of property that gives rise to passive income; net gains from certain transaction in commodities; net foreign currency gains; net income from notional principal contracts; amounts received under cash value insurance contracts; and amounts received by an insurance company in connection with its reserves for insurance and annuity contracts.
3. **Charity and Non-Profit Organisation** means an entity that meets ALL of the following criteria:
 - (a) It is established and operated in its jurisdiction of residence exclusively for religious, charitable, scientific, artistic, cultural, athletic, or educational purposes; or it is established and operated in its jurisdiction of residence and it is a professional organisation, business league, chamber of commerce, labour organisation, agricultural or horticultural organisation, civic league or an organisation operated exclusively for the promotion of social welfare;
 - (b) It is exempt from income tax in its country of residence;
 - (c) It has no shareholders or members who have a proprietary or beneficial interest in its income or assets;
 - (d) The applicable laws of the entity's country of residence or the entity's formation documents do not permit any income or assets of the entity to be distributed to, or applied for the benefit of, a private person or non-charitable entity other than pursuant to the conduct of the entity's charitable activities, or as payment of reasonable compensation for services rendered, or as payment representing the fair market value of property which the entity has purchased; and
 - (e) The applicable laws of the entity's country of residence or the entity's formation documents require that, upon the entity's liquidation or dissolution, all of its assets be distributed to a governmental entity or other non-profit organisation, or escheat to the government of the entity's country of residence or any political subdivision thereof.
4. An **established securities market** generally refers to a Securities exchange that; (i) is officially recognized, sanctioned, or supervised by a governmental authority of the foreign country in which the market is located; and (ii) has an annual value of shares traded on the exchange(or a predecessor exchange) exceeding \$1 billion during each of the three calendar years immediately preceding the year in which the determination is being made.
5. An entity is a "**Related Entity**" of another Entity if either entity controls the other entity, or two entities are under common control. For this purpose, control includes direct or indirect ownership of more than 50% of the vote or value in an entity.
6. **Tax residency** is defined by each country's local tax laws and may vary from that of another country. As such, it is possible for an entity or a person to be considered a tax resident in more than one jurisdiction. In the event of any doubt, please consult your tax advisor. Guidance on tax residency is also available at the following link: <https://www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/tax-residency/>
7. The reason "**Country does not issue TIN**" means the declared country of tax residence does not issue TINs to its tax residents, under any circumstances. Where the declared country of tax residence does issue TINs to its tax residents but the account holder / controlling person has not been issued a TIN due to particular circumstances, "**Others**" should be selected (with an explanation provided) instead. The reason "**Country does not require the collection of TIN**" means the declared country of tax residence that issues the TINs does not require the TINs of its tax residents to be collected.
8. **Service Provider** may refer to a lawyer, corporate secretary, accountant, fund manager, fund administrator, etc.
9. **Controlling Person** is a natural person who exercises control over an entity. Where that entity is treated as a Passive Non-Financial Entity ("NFE") then a Financial Institution must determine whether such Controlling Persons are Reportable Persons. This definition corresponds to the term "beneficial owner" as described in Recommendation 10 and the Interpretative Note on Recommendation 10 of the Financial Action Task Force Recommendations (as adopted in February 2012).

10. Type of controlling person and its definition is listed below:

Type of Controlling Person	Description
Legal Person – Control by ownership	Individual who exercises control through ownership interest (e.g. owning more than 25% of the company in value or voting power).
Legal Person – Senior managing official	Individual who holds the position of senior managing official responsible for strategic decisions that fundamentally affect the business practices or general direction of the entity or exercises executive control over the daily or regular affairs of the legal person.
Legal Person – Control by other means	Individual who exercises control / is entitled to exercise control through other means (e.g. personal connections to persons in positions that possess ownership).
Trust – Settlor	Settlers are natural or legal persons who transfer ownership of their assets to trustees by means of a trust deed or similar arrangement.
Trust – Trustee	A trustee holds the legal title to the trust property and has a duty to administer and deal with the trust property in the interests of the beneficiaries in accordance with the terms agreed with the settlor upon set up of the trust.
Trust – Protector	A protector enforces and monitors the trustee's actions, such as overseeing investment decisions or authorising a payment to a beneficiary.
Trust – Beneficiary	Beneficiary or member of the class of beneficiaries.
Trust – Other	Other (e.g. individual who exercises control over another entity being the settlor/trustee/protector/beneficiary).
Legal Arrangement (non-trust) – Settlor-equivalent	Individual in a position equivalent/similar to settlor.
Legal Arrangement (non-trust) – Trustee-equivalent	Individual in a position equivalent/similar to trustee.
Legal Arrangement (non-trust) – Protector-equivalent	Individual in a position equivalent/similar to protector.
Legal Arrangement (non-trust) – Beneficiary-equivalent	Individual in a position equivalent/similar to beneficiary or member of the class of beneficiaries.
Legal Arrangement (non-trust) – Other-equivalent	Other (e.g. individual who exercises control over another entity being equivalent/similar to settlor/trustee/protector/beneficiary).

Please glue within this area. Do not staple or use tape.

Fold here

Postage will be
paid by addressee.
For posting in
Singapore only.

BUSINESS REPLY SERVICE
PERMIT NO. 08066



OVERSEA-CHINESE BANKING CORPORATION LTD
Account Services
BRAS BASAH POST OFFICE
Locked Bag Service No. 8
Singapore 911886

Fold here

(This page is meant to be left blank intentionally.)

All information is required unless stated.

Individual Tax Declaration Form

- Sole-proprietorship owned by an individual

1 Owner details

Full Name

NRIC/Passport Number

Country of Birth

Date of birth ▶ DD / MM / YY

- If your country of birth is the U.S. and you are no longer a U.S. tax resident¹, please provide the following documents:
- Certificate of loss of nationality of the U.S.
 - Form I-407

Residential Address

Country

Postal Code

Your business details

Name of Sole-proprietorship

Business registration number

2 Owner's tax residency(ies)²

- Please provide a passport, nationality identity card, or a government issued identification document of at least one of the country which you are a tax resident of.
- If you have more than three countries/jurisdictions, please attach a separate sheet.

I own the sole-proprietorship in my individual capacity and I am a tax resident of

Singapore ▶ Refer to NRIC/FIN as attached. If TIN is not your NRIC/FIN, please specify: _____

United States of America ▶ My TIN is _____

Others ▶ Please complete the table below.

Country of Tax Residence ²	Taxpayer Identification No. (TIN)	If no TIN, indicate reason ³ ▶ Tick only one
		<input type="checkbox"/> Country does not issue TIN. <input type="checkbox"/> Country does not require the collection of TIN. <input type="checkbox"/> No tax obligation (e.g. minor, retiree, new citizen) <input type="checkbox"/> Others ▶ Please provide an explanation here _____
		<input type="checkbox"/> Country does not issue TIN. <input type="checkbox"/> Country does not require the collection of TIN. <input type="checkbox"/> No tax obligation (e.g. minor, retiree, new citizen) <input type="checkbox"/> Others ▶ Please provide an explanation here _____
		<input type="checkbox"/> Country does not issue TIN. <input type="checkbox"/> Country does not require the collection of TIN. <input type="checkbox"/> No tax obligation (e.g. minor, retiree, new citizen) <input type="checkbox"/> Others ▶ Please provide an explanation here _____

3 Indicators of other tax residency(ies)²

► If your country(ies) of residential address / mailing address / registered address / domicile differs from your declared country(ies) of tax residence in part 2, please select the applicable indicator(s) and provide a reasonable explanation(s)

<input type="checkbox"/> Country(ies) of residential addresses	Tick One	<input type="checkbox"/> This is one of my many addresses OR the address of a family member / relative / trusted acquaintance (e.g. professional advisor / accountant / personal assistant). <input type="checkbox"/> Others ► Please provide an explanation here _____
<input type="checkbox"/> Country(ies) of mailing address	Tick One	<input type="checkbox"/> This is one of my many addresses OR the address of a family member / relative / trusted acquaintance (e.g. professional advisor / accountant / personal assistant). <input type="checkbox"/> Others ► Please provide an explanation here _____
<input type="checkbox"/> Country(ies) of registered addresses	Tick One	<input type="checkbox"/> This is the address of my trusted advisor / acquaintance. <input type="checkbox"/> Others ► Please provide an explanation here _____
<input type="checkbox"/> Country of domicile (place of business)	Tick One	<input type="checkbox"/> This is the address of a family member / relative / trusted acquaintance (e.g. professional advisor / accountant / personal assistant). <input type="checkbox"/> Others ► Please provide an explanation here _____

4 Agreement ► To be signed by owner in his/her individual capacity

I confirm that all the information I have provided is true, accurate and complete and I have not withheld any information. I undertake to keep OCBC Bank informed in writing, within 30 days, of any changes in circumstances that may cause any of the information in this Certification Form to become incorrect or incomplete and also undertake to provide any other additional information as may be required by OCBC Bank and/or applicable law.

I agree and consent to the terms of OCBC Bank's (I) Data Protection Policy, (II) FATCA Policy and (III) CRS Policy, available at www.ocbc.com/businesspolicies or upon request, which may require the reporting of information supplied by me to relevant tax authorities.

Signature

Date ► DD/MM/YY

_____ / _____ / _____

1. Definition of a U.S. Tax Resident
 - (a) A citizen or permanent resident of the United States (e.g. US Green Card holder or someone who meets the requirements to be considered a resident under the 'substantial presence test'); or;
 - (b) Any other person that is not a foreign person (as defined under US federal tax law).
2. **Tax residency** is defined by each country's local tax laws and may vary from that of another country. As such, it is possible for a person to be considered a tax resident in more than one jurisdiction. In the event of any doubt, please consult your tax advisor. Guidance on tax residency is also available at the following link: <https://www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/tax-residency/>
3. The reason "**Country does not issue TIN**" means the declared country of tax residence does not issue TINs to its tax residents, under any circumstances. Where the declared country of tax residence does issue TINs to its tax residents but the account holder has not been issued a TIN due to particular circumstances, "**Others**" should be selected (with an explanation) instead. The reason "**Country does not require the collection of TIN**" means the declared country of tax residence that issues the TINs does not require the TINs of its tax residents to be collected.

Please glue within this area. Do not staple or use tape.

Fold here

Postage will be
paid by addressee.
For posting in
Singapore only.

BUSINESS REPLY SERVICE
PERMIT NO. 08066



OVERSEA-CHINESE BANKING CORPORATION LTD
Account Services
BRAS BASAH POST OFFICE
Locked Bag Service No. 8
Singapore 911886

Fold here