

### 1 Complete the Renminbi Business Account Application Form. 填写人民币商业账户申请表格。

### 2 What you need to bring 需要携带的文件

	Private Limited 私人有限公司	Partnership/ Limited Partnership 合伙公司/ 有限合伙公司	Limited Liability Partnership 有限责任合伙 公司	Sole Proprietor 独资营业者	Society/Club/ Association 社团/团体/协会
OCBC Renminbi Business Account application form 华侨银行人民币商业账户申请表格	✓	✓	✓	✓	✓
Certified true copies of NRIC/Passport of All Authorised Signatories/Partners/Office Bearers 所有授权签署者/合伙人/干事身份证/护照之核实副本	✓	✓	✓	✓	✓
Certified true copy of Memorandum & Articles of Association (M&A) 公司备忘录及章程 (M&A) 之核实副本	✓				
Certified true copy of By-Laws of Society/ Association/Club 社团/协会/团体章程之核实副本					✓
Certified true copy of Minutes of Meeting of Management Committee 管理委员会会议纪录之核实副本					✓ #
Board Resolution (bank's standard/company's) 董事局决议 (银行标准/公司文件)	✓		✓ ^		

#### Photocopied documents must be certified true by

核实影印副本文件必须备有以下人士的签署证明

Private Limited	Partnership/ Limited Partnership	Limited Liability Partnership	Sole Proprietor	Society/Club/ Association
 Any 2 Directors or Director & Company Secretary (For M&A, any Director or Company Secretary) 任何2名董事或董事及公司秘书 (若提呈公司备忘录及章程, 只需董事及公司秘书)	 Any 2 Partners (For Partnership only) 任何2名合伙人 (限于合伙公司)	 Any 2 Partners 任何2名合伙人	 Business Owner 经营者	 Any 2 Office Bearers 任何2名干事
	 Any General Partner (For Limited Partnership only) 任何普通合伙人 (限于有限合伙公司)			

### 3 Who needs to be present

以下人士需在场

The following signatories must be present with their NRICs/Passports at OCBC Bank Branch at point of account opening:

以下签署人士必须携带其身份证/护照, 亲自前往任何华侨银行分行办理开户手续:

Private Limited	Partnership/ Limited Partnership	Limited Liability Partnership	Sole Proprietor	Society/Club/ Association
 Any 2 Directors (unless otherwise specified in board resolution) 任何2名董事 (除非董事局协议中另有注明指定者)	 All Partners (For Partnership only) 所有合伙人 (限于合伙公司)	 Any 2 Partners 任何2名合伙人	 Business Owner 经营者	 Any 2 Office Bearers 任何2名干事
	 All General Partners (For Limited Partnership only) 所有普通合伙人 (限于有限合伙公司)			

^ LLP to also submit their LLP Partnership Agreement, if available. 有限责任公司若有也必须提呈其有限责任公司协议(LLP Partnership Agreement)。

# To include authorisation to open and close accounts with the Bank and appointment of authorised signatories. 包括在银行开户或关户的授权, 并委任授权签署人。

For help



Please call our Business Banking Commercial Service Centre at (65) 6538 1111



Email us at BBCSC - Bizinteract@ocbc.com

如需协助



请致电我们的商业服务中心 (65) 6538 1111



电邮至BBCSC - Bizinteract@ocbc.com



All information is required unless stated. 所有空格皆必须填写, 除非特别注明。

Forms to be filled in English.  
请以英文填写表格。

### 1 Type of account 账户种类

- CNY (RENMINBI ONSHORE) Account 境内人民币账户  CNH (RENMINBI OFFSHORE) Account 境外人民币账户

### 2 Customer information 客户资料

Registered name 注册名称  Fax number 传真号码  GST registered 注册征收消费税  Yes 是  No 否

Office number 办公室电话号码 国家代码 地区代码, 非SG号码 联系电话  
Office number ▶ + (country code) - (area code, for non SG number) - (contact number)

Please refer to our particulars contained in the attached ACRA Business Profile, OR 请参考附件的ACRA业务简介, 或

Refer to particulars below 请参考以下的详情

Unique Entity/Registration/Gazette No. 独特组织/注册/宪报编号  Nature of Business 业务性质

Registered Address 注册地址  Postal Code 邮区

Country of Incorporation 成立国家:

Singapore 新加坡  Others 其他  请注明  
Pls specify

Date of Incorporation/Registration 成立/注册日期 ▶ DD / MM / YY  Country of Domicile 户籍国家 ▶ If different from country of incorporation 若和成立国家不同

Classification of Business 业务类别:

Sole Proprietorship 独资营业者  Partnership 合伙公司  Private Limited/Public Company 私人有限/上市公司  
 Society/Club/Association 社团/团体/协会  Others 其他  请注明  
Pls specify

### 3 Account particulars 账户详情

Accounts to be used for 该账户使用于  Business Operations 业务运行  Business Income 业务收入  
▶ Tick one 请选择一项 ▶ Tick one or more 可选择一项以上

Investment 投资  Capital Contribution 资本投资

Others 其他 ▶ Pls Specify 请注明  Others 其他 ▶ Pls Specify 请注明

Account name 账户名称   
▶ If different from registered name 若与注册地址不同

Mailing address 邮寄地址   
▶ If different from registered address 若与注册地址不同

Postal Code 邮区

### 4 Contact person 联络人 ▶ Authorised to receive and communicate customer information 授权接受及传达客户讯息

Primary contact name 主要联络人姓名

Email address 电邮

手机号码 国家代码 地区代码, 非SG号码 联系电话 办公室电话号码 国家代码 地区代码, 非SG号码 联系电话  
Mobile number ▶ + (country code) - (area code, for non SG number) - (contact number) Office number ▶ + (country code) - (area code, for non SG number) - (contact number)

Secondary contact name 第二联络人姓名

Email address 电邮

手机号码 国家代码 地区代码, 非SG号码 联系电话 办公室电话号码 国家代码 地区代码, 非SG号码 联系电话  
Mobile number ▶ + (country code) - (area code, for non SG number) - (contact number) Office number ▶ + (country code) - (area code, for non SG number) - (contact number)

## 5 Declaration 声明

Account name 账户名称

For non-resident companies 非常驻公司

- Companies managed and controlled outside of Singapore. ▶ Please complete the Withholding Tax Declaration Form for Non-Resident Company  
在新加坡以外管理及管制的公司。▶ 非常驻公司请填写预扣税款声明书

### Declaration on Beneficial Ownership of Customer 客户实益拥有人声明

▶ If the list is more than the spaces provided, please attach list. Please furnish certified true copies of their identity documents.  
如果清单比以上所提供的空间更长, 请附上清单。请提供身份证明文件的核实副本。

▶ NB: Declaration not applicable to governmental entities, exchange listed entities and/or financial institutions supervised by the Monetary Authority of Singapore.  
备注: 此声明不适用于政府机构、上市公司及/或由新加坡金融管理局所监督的金融机构。

\*I/We declare that the following person(s) ultimately own and/or control the management of the customer:

我/我们在此声明以下人士拥有及/或管制客户管理的最终权力:

Full name 全名 ▶ Including aliases 包括别名	Full name 全名 ▶ Including aliases 包括别名
<input type="text"/>	<input type="text"/>
NRIC/Passport number 身份证/护照号码 <input type="text"/>	NRIC/Passport number 身份证/护照号码 <input type="text"/>
Date of birth 出生日期 ▶ DD/MM/YY Nationality 国籍 <input type="text"/>	Date of birth 出生日期 ▶ DD/MM/YY Nationality 国籍 <input type="text"/>
Residential address 住址 <input type="text"/>	Residential address 住址 <input type="text"/>
手机号码 国家代码 地区代码, 非SG号码 联系电话 Mobile number ▶ + (country code) - (area code, for non SG number) - (contact number) <input type="text"/>	手机号码 国家代码 地区代码, 非SG号码 联系电话 Mobile number ▶ + (country code) - (area code, for non SG number) - (contact number) <input type="text"/>
办公室电话号码 国家代码 地区代码, 非SG号码 联系电话 Office number ▶ + (country code) - (area code, for non SG number) - (contact number) <input type="text"/>	办公室电话号码 国家代码 地区代码, 非SG号码 联系电话 Office number ▶ + (country code) - (area code, for non SG number) - (contact number) <input type="text"/>
Occupation/Public position held 所持职位/职衔 <input type="text"/>	Occupation/Public position held 所持职位/职衔 <input type="text"/>

And I/we acknowledge and confirm that OCBC Bank shall be entitled to rely on my/our declaration above on the identity(ies) of and information relating to the Beneficial Owner(s) of the Account.

我/我们也确认华侨银行将有权遵照我/我们以上声明的账户实益拥有者的身份及资料。

## 6 Authorised Signatories 授权签署人

Please list all person(s) authorised to sign or accept for or on behalf of the company/association/club/society/firm cheques, bills of exchange, orders to pay and any other instruments in respect of the account.

请列出所有有权代表公司/协会/团体/商行签署或接受支票、汇票、付款指示及其他相关账户文件的人士。

Account name 账户名称

Personal particulars 个人资料

Signature 签名 ▶ Please sign within the box 请在格子内签名  
For control purposes, please cross out any unused portions.  
基于控制原因, 请将签署人表格中未被使用的部分删除。

Name 姓名 <input type="text"/>	<input type="text"/>
NRIC/Passport number 身份证/护照号码 <input type="text"/>	
Designation/Title 职衔 <input type="text"/>	
Group 组别 ▶ If any 若有 <input type="text"/>	<input type="text"/>
Name 姓名 <input type="text"/>	
NRIC/Passport number 身份证/护照号码 <input type="text"/>	
Designation/Title 职衔 <input type="text"/>	
Group 组别 ▶ If any 若有 <input type="text"/>	

Name 姓名

\_\_\_\_\_

NRIC/Passport number 身份证/护照号码

\_\_\_\_\_

Designation/Title 职衔

\_\_\_\_\_

Group 组别 ▶ *If any 若有*

\_\_\_\_\_

Name 姓名

\_\_\_\_\_

NRIC/Passport number 身份证/护照号码

\_\_\_\_\_

Designation/Title 职衔

\_\_\_\_\_

Group 组别 ▶ *If any 若有*

\_\_\_\_\_

Name 姓名

\_\_\_\_\_

NRIC/Passport number 身份证/护照号码

\_\_\_\_\_

Designation/Title 职衔

\_\_\_\_\_

Group 组别 ▶ *If any 若有*

\_\_\_\_\_

Signing condition 签名条件

Singly 单方

Any two jointly 任何二人联名

Grouping and signing limits as follows 组别及签署极限如下

\_\_\_\_\_

\_\_\_\_\_

## 7 Important notes 重要注意事项

### Disclosure of information to OCBC subsidiaries

OCBC Bank has established two wholly-owned subsidiaries in Singapore and Malaysia to process certain selected transactions for OCBC Bank's customers and for this purpose, customer information may be disclosed to these subsidiaries. Please be assured that customer information will continue to remain within the OCBC Group, subject to any mandatory disclosure required by the relevant regulatory authorities.

### 向华侨银行附属公司披露信息

华侨银行在新加坡和马来西亚成立了两间全资附属公司，为华侨银行处理特定的交易。因此客户资料可能会被透露给这些附属公司。我们将保证客户资料会保留在华侨银行集团内，除非有关监管当局命令透露该等资料。

## 8 Terms and conditions for Renminbi Business Account 人民币商务账户的规则与条件

You, the customer/accountholder, agree to be bound by the following terms and conditions for the opening and maintaining of Renminbi Business Account (the "Terms and Conditions for Renminbi Business Account"), which are supplemental to, and form part of the Business Accounts Terms and Conditions of Oversea-Chinese Banking Corporation Limited (the "Bank").

您，身为客户/账户持有人，必须遵守开设及保有人民币商业账户的规则与条件（人民币商业账户的“规则与条件”），本人民币商业账户条款是附加于华侨银行有限公司（本行）商业账户之规则与条件并构成其一部分。

1. In the Terms and Conditions for Renminbi Business Account, the following terms and expressions shall have the following meaning:

"Applicable Regulations" means any laws, regulations, orders, rules, directions, requests, codes, notices, guidelines, restrictions or the likes (whether or not having the force of law) issued, announced or promulgated from time to time by any government, governmental agency, semi-governmental or judicial entity or body, regulatory or self-regulatory or other authority or organisation, clearing or settlement bank or body exchange in the People's Republic of China ("PRC"), Hong Kong or Singapore (including but not limited to the People's Bank of China, Hong Kong Monetary Authority and the Monetary Authority of Singapore) relating to any Renminbi business or service in the PRC or Hong Kong or Singapore.

"Renminbi" means the lawful currency of the PRC.

在人民币商业账户的规则与条件里，下列术语和词语具以下含义：

"适用条款"指不时由中华人民共和国（PRC）、香港或新加坡（包括但不受限于中国人民银行、香港金融管理局和新加坡金融管理局）的政府机构、半官方机构或司法组织，监管或自我监管或其他机构组织或结算银行或交易机构，就有关任何在中国或香港或新加坡有关连的任何人民币业务或服务所发布或宣布之任何（不论是否具法律约束力）法律、条规、指令、条例、指示、要求、法规、通知、指引、限制或类似术语或词语的含义。

"人民币"指中华人民共和国的法定货币。

2. You may place deposits into a Renminbi Business Account only by way of transfers from another Renminbi account maintained with the Bank or through foreign exchange conversion of Singapore dollars or other foreign currencies at the Bank's prevailing exchange rate or by way of inward remittance, in compliance with Applicable Regulations, in which case a commission in lieu of exchange may be charged by the Bank. Similarly, withdrawals from a Renminbi Business Account may be made by you only by way of transfer of funds to another Renminbi account of yours maintained with the Bank or withdrawal by way of foreign exchange conversion into Singapore dollars or other freely convertible foreign currencies at the Bank's prevailing exchange rate or by way of outward remittance, in compliance with Applicable Regulations, if you are remitting funds to PRC for trade settlement purpose with any designated PRC enterprise, in which case a commission in lieu of exchange may be charged by the Bank. If the Bank is unable to provide a firm exchange rate quotation for the purpose of this section 2 or section 10 below, the transaction shall be carried out by the Bank based on a provisional exchange rate, which shall be subject to adjustment when the actual exchange rate is determined by the Bank and the difference shall be credited or debited, as the case may be, to that Renminbi Business Account or any of your other accounts with the Bank.

您可存款于人民币商业账户但只能通过经由本行开设的另一个人民币账户或通过外币兑换成新币或以其他现行汇率换算或与以汇入汇款的方式（需符合本行适用条款）。在这种情况下，本行将收取佣金作为处理换算交接的费用。同样的，您可通过在本行所持有的另一个人民币商业账户向这个人民币商业账户通过转账方式提款或通过外币兑换成新币或以其他可自由兑换的外币以银行现行汇率换算或以汇出汇款的方式（需符合本行适用条款）汇至任何指定有贸易联系的中华人民共和国企业。在这种情况下，本行将收取佣金作为处理换算的费用。如果银行不能为以下条款2或条款10所述的交易提供稳定的汇率报价，那么本行将根据临时汇率作为交易基础，当银行确定确实的汇率时，将会调整您在本行的人民币商业账户或其他任何人民币商业账户的差额而予以计入或扣除。

3. Interest, if any, shall be paid by the Bank at such frequency and at the rate determined by the Bank. Interest rate, if any, is subject to change from time to time at the Bank's discretion and the Bank reserves the right to impose the requirement(s) for interest entitlement, such as the balance to be maintained in the Renminbi Business Account. The Bank reserves the right at its absolute discretion to vary the requirement for interest entitlement.

若有利息，本行将对该间隔时段支付利息并由本行自行设定利率。若有利率，本行可视情况而不时更改利率，本行可视情况要求有权处理或行使有关利息的拥有权，如余款需保留于人民币商业账户内。本行将视情况需要，可全权行使及更改有关利息的拥有权。

4. The Bank reserves the right to set minimum and maximum amounts with respect to any type of Renminbi Business Account from time to time and in such event, the Bank is authorised to transfer at any time any excess amount in a Renminbi Business Account to any other Renminbi account(s) maintained by you with the Bank or dispose the excess amount in any other manner as the Bank thinks fit without prior notice to you.

本行有权不时决定就任何人民币商业账户类型设定最低和最高存款数额，于该时期本行有权随时转移任何在人民币商业账户的剩余款项至您在本行所持有的其他任何人民币账户或以本行认为妥当的其他方式处理该款项而不另行通知。

5. You understand and accept the following risks related to Renminbi Business Accounts:

您理解并接受以下人民币商业账户的相关风险：

- (i) There is an inherent exchange rate risk in converting foreign currency to Renminbi and vice versa as the currency exchange rate may fluctuate from time to time; and 外币兑换为人民币存在着内在的亏损风险，而货币汇率也不时受市场波动所牵制。
- (ii) Renminbi is not a freely convertible currency and is subject to changes in the Applicable Regulations initiated by the PRC authorities. 人民币为不可自由兑换的货币，而中国有关当局则可能不时更改与修订所实施的条款。

6. The opening, maintaining and operations of the Renminbi Business Accounts shall at all times be subject to and in compliance of the Applicable Regulations.

开设、持有及操作人民币商业账户时您必须始终遵守（符合）条款的规范。

7. The Bank reserves the right to (a) take or refrain from taking such action as it, at its sole discretion deems appropriate notwithstanding any contrary provision in any agreement with you; (b) amend or add any terms and conditions applicable to any Renminbi Business Account; and (c) terminate any Renminbi Business Account or transfer or convert any amount in any Renminbi Business Account according to or in order to comply with Applicable Regulations at any time and from time to time.

本行有权(a)尽管在与您的协议规定不一致的情况下本行可全权决定是否采取或避免本行认为妥当的做法。(b)修改或增加任何适用于任何人民币商业账户的条款及(c)终止任何人民币商业账户转移或兑换任何人民币商业账户内的任何款项或是为了随时遵守适用条款的规范。

8. You hereby give your written consent to disclose all or any transaction and information relating to you and/or any Renminbi Business Account to the relevant authorities in Singapore, Hong Kong or the PRC, as may be required.

根据您呈写的书面同意书，您须提供全部或任何人民币商业账户交易的有关资料或在新加坡，香港或中华人民共和国有关当局的任何有关人民币账户资料（视情况和要求而定）。

9. The Bank shall endeavour to implement any change in or in the interpretation, administration or application of the Applicable Regulation affecting the Renminbi Business Accounts in a timely manner. However, the Bank shall not be liable for any claims, damages, losses, costs, expenses and charges incurred or suffered by you arising from such default.

本行将及时对诠释、行政管理或影响作出积极调整（改变）那些影响到人民币商业账户的条款。然而，本行一概不为任何导致索赔、损害、损失、成本、开支及费用承担责任或因您本身的疏失而引致的一切损害/损失。

10. If the Bank closes a Renminbi Business Account, the Bank may convert the amount standing to the credit of that account into Singapore Dollars or other freely convertible currency, at the discretion of the Bank, at the Bank's prevailing exchange rate and post a draft or cashier's order of such amount to the last address notified by you to the Bank.

如果本行关闭一个人民币商业账户，本行有权根据现行汇率将余款净额转换为新币或其他可自由兑换的货币，并将该款项以您最后通知本行的住址寄出汇票或本票。

11. If there is any conflict or inconsistency between any provision in the Business Accounts Terms and Conditions and any provision under the Terms and Conditions for Renminbi Business Account, the latter shall prevail.

如果商业账户的规则与条件内的条款与人民币商业账户的规则与条件内的条款有任何含糊或不一致之处，当以后者为准。

以上内容译自英文版本。中英文版本之间若有含糊之处、出入或遗漏，当以英文原文为准。

## 9 Agreement 协议 ▶ To be signed by person(s) authorised to open the Account(s) 由授权申请开设银行账户人签署

To: Oversea-Chinese Banking Corporation Limited ("OCBC Bank")

I/We request OCBC Bank to open the above account(s) (the "Account(s)"). I/We have received and read a copy of the Business Account Terms and Conditions (available at all OCBC Bank branches and at <http://www.ocbc.com>) and have fully understood its contents. I/We agree to abide and be bound by them and the terms and conditions of the Renminbi Business Accounts set out above and any amendments, alterations and additions thereto as may from time to time be made.

I/We consent to disclosures as provided therein and agree that all payments be debited from the Account(s).

I/We hereby certify and confirm that the person(s) whose signature(s) appear in the Authorised Signatories section above and acting according to the signing condition/mandate indicated therein are authorised to draw, sign, endorse, accept or make for or on my/our behalf all cheques, bills of exchange, orders to pay and any other instruments (even if, where permitted by OCBC Bank the relevant account is or will become overdrawn) in respect of or in connection with the Account, even though the payment is for the benefit of any director, employee, authorised person/signatory or individual order of any signing person without OCBC Bank having to enquire into the circumstances or being liable in any way in respect of such payment and OCBC Bank be and is authorised to honour any such cheques, bills of exchange, orders to pay and any other instruments.

For company/association/club/society:

We confirm that the company/association/club/society is not insolvent, wound up nor placed in liquidation, judicial management or receivership.

**For partnerships:**

We agree that we shall be jointly and severally responsible and liable to OCBC Bank for all monies owing and liabilities incurred to OCBC Bank by us or any of us whether in the name of or on behalf of the partnership firm or otherwise. Upon any partner ceasing to be a member of the partnership firm by death, bankruptcy, retirement or otherwise, OCBC Bank shall treat the surviving or continuing partner or partners for the time being as having full power to carry on the business of the partnership firm and to deal with its assets, as if there had been no change in the partnership firm.

Where personal data relating to me/us is or will be collected (including by way of recorded voice calls), used or disclosed by OCBC, its related corporations (collectively, the "OCBC Group") and/or their respective business partners and agents (collectively, the "OCBC Representatives"), I/we further agree and consent to the terms of OCBC's Data Protection Policy, available at [www.ocbc.com/business-policies](http://www.ocbc.com/business-policies) or upon request.

Where personal data relating to any of the Individuals (as defined herein) is or will be collected (including by way of recorded voice calls), used or disclosed by the OCBC Group and/or the OCBC Representatives, I/we confirm that with respect to any personal data of individuals (the "Individuals") disclosed to the OCBC Group and/or OCBC's representatives, the Individuals to whom the personal data relates to have agreed and consented to the terms of OCBC's Data Protection Policy, accessible at [www.ocbc.com/business-policies](http://www.ocbc.com/business-policies) or available upon request.

I/We further agree and consent to the terms of OCBC Bank's FATCA Policy, available at [www.ocbc.com/business-policies](http://www.ocbc.com/business-policies) or upon request.

By signing below, I/we confirm that I/we am/are authorised to sign the application form for and on behalf of the company/association/club/society/partnership.

致: 华侨银行有限公司

我/我们在此要求华侨银行开设以上的账户。我/我们已经收到、阅读并理解了商业账户规则与条件。(可在所有华侨银行分行及<http://www.ocbc.com>找到)。我/我们同意遵守人民币商业账户如以上所设定的规则与条件,并理解相关修改,修订和增加均将不时有所更改。

我/我们同意透露在此填写的内容,并同意所有费用将从我/我们在华侨银行的账户中扣除。

我/我们确认以上授权签署人,并遵守签署条件/指令的人士有权利代替我/我们开立、签署、批注、接受或建立与该账户有关或有关联的所有支票、汇票、付款指示及其他有关账户的文件(即使在华侨银行的批准下该账户将会透支)。即使该款项是付给任何一位董事、员工、授权签署人或任何签署人的个别指示,华侨银行也无需对于有关情况查询,或对于任何这类支付负担任何责任。华侨银行将授权承兑任何这类支票、汇票、付款指示及其他有关账户的文件。

公司/协会/团体/社团协议:

我们确认该公司/协会/团体/社团并非破产、倒闭或处于停业清理、受司法管制或财务清算状态。

合伙公司协议:

我们确认我们将会对所有由于我们或其中任何一人在合伙公司的名义上或否所欠付华侨银行的所有款项及所负的责任。在任何一位合伙人由于死亡、破产、退休或其他原因不再继续是该企业的合伙人,华侨银行将视其他继续存在的合伙人有十足的权力继续该业务的营业及处理其产业,犹如该合营公司里没有任何变动。

有鉴于华侨银行、其有关连公司(统称“华侨银行集团”)及/或各自的商业合作伙伴和经纪公司(统称“华侨银行代表”)正在或将会收集(包括电话录音记录)、使用或公开我/我们的相关个人资料,我/我们须进一步同意及赞同华侨银行个人资料保护政策的相关条款,条款可上网[www.ocbc.com/business-policies](http://www.ocbc.com/business-policies) 查阅或向本行索取。

有鉴于华侨银行集团及/或华侨银行代表正在或将会收集(包括电话录音记录)、使用或公开任何人士的相关个人资料,我/我们谨此确认当有关人士(“人士”)向华侨银行集团及/或华侨银行代表披露任何个人资料,该有关人士已同意及赞同华侨银行个人资料保护政策的相关条款,条款可上网[www.ocbc.com/business-policies](http://www.ocbc.com/business-policies) 查阅或向本行索取。

我/我们等进一步同意并赞同华侨银行的政策的相关条款,条款可上网[www.ocbc.com/business-policies](http://www.ocbc.com/business-policies) 查阅或向本行索取。

我/我们在此确认本申请书由本公司/协会/团体/社团/合伙公司授权签字人代表签署。

Signature 签名

Authorised person 授权签字人的签名

Name 姓名

\_\_\_\_\_

NRIC/Passport number 身份证号码      Designation 职衔

\_\_\_\_\_

Signature 签名

Authorised person 授权签字人的签名

Name 姓名

\_\_\_\_\_

NRIC/Passport number 身份证号码      Designation 职衔

\_\_\_\_\_

Signature 签名

Authorised person 授权签字人的签名

Name 姓名

\_\_\_\_\_

NRIC/Passport number 身份证号码      Designation 职衔

\_\_\_\_\_

Signature 签名

Authorised person 授权签字人的签名

Name 姓名

\_\_\_\_\_

NRIC/Passport number 身份证号码      Designation 职衔

\_\_\_\_\_

Complete and return this form to us at: 请将填妥的表格邮寄至: Oversea-Chinese Banking Corporation Limited, 65 Chulia Street, OCBC Centre, Singapore 049513. 华侨银行, 65珠烈街, 华夏, 新加坡049513.

For bank's use 银行专用

Industry code 业务代码	Account number 账户号码	Remarks 备注	Attended by 处理人	Authorised by 授权人	Input checked by 输入核对人
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## What is this form about?

This is a self-certification form ("Certification Form") that you will need to complete and provide to Oversea-Chinese Banking Corporation Limited ("OCBC Bank" or "the Bank") for the purpose of complying with relevant tax regulations to enable the automatic exchange of financial account information ("AEOI"). The data collected may be reported by the Bank, directly or indirectly, to relevant tax authorities.

The Bank does not and cannot provide any tax advice. If you have any questions about how to complete this form, please consult your tax advisor. This form is intended to assist the Customer and the Bank in identifying and classifying each account holder's tax status and to complete the documentation necessary for compliance with relevant AEOI tax regulations, including the US Foreign Account Tax Compliance Act ("FATCA") and the OECD Common Reporting Standard for Automatic Exchange of Financial Account Information in Tax Matters, as implemented in relevant jurisdictions ("CRS").

If you are a Singapore government entity or is wholly owned by a Singapore government entity, there is no need for you to complete this form.

## Which form should you complete?

Form	Who should be completing this form?
A	<ul style="list-style-type: none"> <li>Business entities (e.g. Pte Ltd &amp; Partnerships that are non-financial entities)</li> <li>Sole-proprietorships owned by <u>business entity</u></li> <li>Foreign central banks, foreign government entities &amp; international organisations</li> <li>Non-profitable organisations (including charitable trusts)</li> </ul>
B	<ul style="list-style-type: none"> <li>Sole-proprietorships owned by an <u>individual</u></li> </ul>
C	<ul style="list-style-type: none"> <li>Financial Institutions (i.e. depository, custodial, investment entity or specified insurance company)</li> <li>Trusts (excluding charitable trusts)</li> <li>Legal arrangements similar to trusts</li> </ul>

## What you must do?

For Singapore customer(s), please mail the completed forms to us, using the Business Reply Service Slip at the back of the form.

**OR**

For Overseas customer(s), please mail the completed forms to:

**OVERSEA-CHINESE BANKING CORPORATION LIMITED**

Account Services  
 BRAS BASAH POST OFFICE  
 Locked Bag Service No. 8  
 Singapore 911886

**(This page is meant to be left blank intentionally.)**



All information is required unless stated.

## Form A

- Business entities (e.g. Pte Ltd & Partnerships that are Non-Financial Entities(NFE)/Non-Financial Foreign Entities(NFFE))
- Sole-proprietorships owned by business entity
- Foreign central banks, foreign government entities & international organisations
- Non-profit organisations (including charitable trusts)

### 1 Your business details ▶ Select only one & complete accordingly

#### I am **NOT** a sole-proprietorship

Registered name ("the Entity")	Business registration number	
<input type="text"/>	<input type="text"/>	
▶ Select one		
<input type="checkbox"/> Please refer to our particulars contained in attached ACRA Business Profile	<b>OR</b>	<input type="checkbox"/> Please refer to our particulars below
Registered Address	Country	Postal Code
<input type="text"/>	<input type="text"/>	<input type="text"/>

**OR**

#### I am a sole-proprietorship owned by a business entity

Registered name	Business registration number	
<input type="text"/>	<input type="text"/>	
<b>Business entity owner details</b>		
Registered name ("the Entity")	Business registration number	
<input type="text"/>	<input type="text"/>	
▶ Select one		
<input type="checkbox"/> Please refer to our particulars contained in attached ACRA Business Profile	<b>OR</b>	<input type="checkbox"/> Please refer to our particulars below
Registered Address	Country	Postal Code
<input type="text"/>	<input type="text"/>	<input type="text"/>

▶ Business entity owner is required to provide their own tax declaration below and complete the rest of the form.

### 2 Your business's tax residency(ies)<sup>2</sup> ▶ Tick one or more

The Entity is a tax resident of

- Singapore ▶ Refer to business registration number for the taxpayer identification number (TIN).  
If not, please specify
- United States of America ▶ Please also complete the IRS W-9 form.
- Others ▶ Please complete the table below.

Country of Tax Residence <sup>2</sup>	Taxpayer Identification No. (TIN)	If no TIN, indicate reason ▶ Tick only one
<input type="text"/>	<input type="text"/>	<input type="checkbox"/> Country does not issue TIN. <input type="checkbox"/> Country does not require the collection of TIN. <input type="checkbox"/> Others ▶ Please provide an explanation here <input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="checkbox"/> Country does not issue TIN. <input type="checkbox"/> Country does not require the collection of TIN. <input type="checkbox"/> Others ▶ Please provide an explanation here <input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="checkbox"/> Country does not issue TIN. <input type="checkbox"/> Country does not require the collection of TIN. <input type="checkbox"/> Others ▶ Please provide an explanation here <input type="text"/>

If you are not a tax resident in any jurisdiction (e.g. fiscally transparent), indicate the jurisdiction in which your place of effective management is situated. If you have more than three countries/jurisdictions, please attach a separate sheet.

- ▶ If your country(ies) of incorporation/ mailing address/ domicile differs from your tax residency(ies), please fill up the relevant section.
- ▶ This includes the sole-proprietorship's details if you are declaring on behalf of a sole-proprietorship.

Country(ies) of incorporation / registration

▶ If your tax residence is not where you are incorporated / registered, please provide a government issued document of at least one of the country(ies) which you are a tax resident of.

Select One

- The place of effective management of my entity is different from its place of incorporation / registration.
- The entity is a branch and its head office is tax resident in the declared country of tax residence.
- The **sole-proprietorship** is set up by a service provider (e.g. lawyer / corporate secretary / accountant / fund manager / administrator / related entity, etc.)
- Others ▶ Please provide an explanation here

▶ If your registered address(es) differs from your country incorporation / registration and is located in a jurisdiction that is not your tax residence, please provide an explanation below:

- This is the address of my service provider (e.g. lawyer / corporate secretary / accountant / fund manager / fund administrator, etc.)
- Others ▶ Please provide an explanation here

Country(ies) of mailing addresses

Select One

- This is the address of my service provider (e.g. lawyer / corporate secretary / accountant / fund manager / fund administrator, etc.)
- That is the address of our director / shareholder / authorised signatory.
- Others ▶ Please provide an explanation here

Country of domicile (place of business)

Select One

- This is where my service provider is located (e.g. lawyer / corporate secretary / accountant / fund manager / fund administrator, etc.)
- My tax residency is determined by the place of incorporation which is different from my place of business (only applicable if country of incorporation is one of the tax residency(ies)).
- Others ▶ Please provide an explanation here

**3 Entity Type** ▶ Select by ticking one of the following statements that best describes the Entity

The Entity is not a Financial Institution<sup>1</sup>, and:

Option	Description	CRS Status	FATCA Status
A1. <input type="checkbox"/>	<p><b>Active Business</b></p> <ul style="list-style-type: none"> <li>derives less than 50% of its gross income (for the previous calendar year) from passive income<sup>3</sup> such as investments, dividends, interest, rents or royalties; and</li> <li>has less than 50% of its assets being used to produce passive income<sup>3</sup> for the previous calendar year.</li> </ul> <p><b>OR</b></p> <p><b>Charity and Non-Profit Organisation<sup>4</sup></b></p> <ul style="list-style-type: none"> <li>is a Charity or Non-Profit Organisation (including charitable trusts)</li> </ul>	Active NFE	
A2. <input type="checkbox"/>	<p><b>Public Sector Entity</b></p> <ul style="list-style-type: none"> <li>is any one of the following:               <ol style="list-style-type: none"> <li>Foreign Central Banks</li> <li>Foreign Government Agencies / Public Sector Entities</li> <li>Foreign Statutory Boards / Owned by Statutory Boards</li> <li>Foreign Embassies / Trade Representative Offices owned by Governmental Entities</li> <li>International Organisations</li> </ol> </li> </ul>	Governmental Entity	Active NFFE
A3. <input type="checkbox"/>	<p><b>Entities in Liquidation / Reorganisation</b></p> <ul style="list-style-type: none"> <li>is not a Financial Institution<sup>1</sup> in the past five years, and</li> <li>is in the process of liquidating its assets, or is reorganising with the intent to continue or recommence operations in a business other than that of a Financial Institution<sup>1</sup></li> </ul>	Active NFE	
A4. <input type="checkbox"/>	<p><b>Publicly Listed Company and its Related Entity<sup>6</sup></b></p> <ul style="list-style-type: none"> <li>its stock is regularly traded on one or more established securities markets<sup>5</sup>, or</li> <li>is a Related Entity<sup>6</sup> (in other words, a member) of an entity whose stock of which is regularly traded on an established securities market</li> </ul> <p>If yes, please indicate the required information below:</p> <p>Name of the securities market</p> <p>_____</p> <p>Name of entity regularly traded in above stated securities market (applicable only if the Entity is a Related Entity<sup>6</sup>)</p> <p>_____</p>	Active NFE - Regularly Traded	

Option	Description	CRS Status	FATCA Status
A5. <input type="checkbox"/>	<b>Holding Group Service Company</b> <ul style="list-style-type: none"> <li>substantially all of its activities consist of holding (in whole or in part) the outstanding stock of, and providing financing and services to, one or more subsidiaries that engage in trades or businesses other than the business of a Financial Institution<sup>1</sup>, and</li> <li>does not operate as an investment fund, such as private equity fund, venture capital fund, leveraged buyout fund or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets</li> </ul>	Active NFE	Active NFFE
A6. <input type="checkbox"/>	<b>Treasury / Financing Centre of Corporate Groups</b> <ul style="list-style-type: none"> <li>primarily engages in financing and hedging transactions with, or for, Related Entities<sup>6</sup> that are not Financial Institutions<sup>1</sup>, and</li> <li>the group of such Related Entities<sup>6</sup> is primarily engaged in a business other than that of a Financial Institution, and</li> <li>does not provide financing or hedging services to any entity that is not a Related Entity<sup>6</sup></li> </ul>	Active NFE	
A7. <input type="checkbox"/>	<b>Passive Investments</b> <ul style="list-style-type: none"> <li>derives more than 50% of its gross income (for the previous calendar year) from passive income<sup>3</sup> such as investments, dividends, interests, rents or royalties; or</li> <li>have more than 50% of its assets being used to produce passive income<sup>3</sup> for the previous calendar year</li> </ul> <b>Please also complete Part 4 Controlling Person(s)<sup>7</sup> (Only for A7 – Passive NFE/NFFE)</b>	Passive NFE	Passive NFFE
A8. <input type="checkbox"/>	<ul style="list-style-type: none"> <li>is none of the above. Please seek professional tax advice and specify your classification below.</li> </ul>	<i>Classification (please specify):</i> Please complete the relevant US IRS Form W-8/W-9 and submit it together with this form.	

#### 4 Controlling Person(s)<sup>7</sup> (Only for A7 – Passive NFE/NFFE)

▶ **ONLY** if the Entity has selected A7 – Passive NFE/NFFE in Part 3, please provide details of the controlling person(s)<sup>7</sup>.

▶ If you have more than three controlling persons, please attach a separate sheet.

##### Controlling Person 1

###### Full Name

\_\_\_\_\_

###### Date of birth ▶ DD / MM / YY

\_\_\_\_/\_\_\_\_/\_\_\_\_

###### Controlling person type ▶ Tick only one

- I have direct/indirect ownership of the Entity of 25% or more
- I hold a senior management position / exercise ultimate control over the management of the Entity
- I exercise control over the Entity through other means

###### Residential Address

\_\_\_\_\_

###### Country

###### Postal code

\_\_\_\_\_

▶ If your residential address is located in a country that is different from your country(ies) of tax residence, please provide:

(i) a passport, nationality identity card, or a government issued identification document of at least one of the country which you are a tax resident of; and

(ii) explanation below (tick at least one):

- This is one of my many addresses.
- This is the address of a family member / relative / trusted acquaintance (e.g. professional advisor / accountant / personal assistant).
- I am temporarily staying at this address.
- Others ▶ Please provide an explanation here

\_\_\_\_\_

##### Please provide tax residency(ies) declaration of controlling person:

I am a tax resident of ▶ Tick one or more

Singapore ▶ Refer to NRIC/FIN as attached. If TIN is not your NRIC/FIN, please specify: \_\_\_\_\_.

United States of America. My TIN is \_\_\_\_\_.

Others ▶ Please complete the table below.

Country of Tax Residence <sup>2</sup>	Taxpayer Identification No. (TIN)	If no TIN, indicate reason ▶ Tick only one
		<input type="checkbox"/> Country does not issue TIN. <input type="checkbox"/> Country does not require the collection of TIN. <input type="checkbox"/> Others ▶ Please provide an explanation here _____
		<input type="checkbox"/> Country does not issue TIN. <input type="checkbox"/> Country does not require the collection of TIN. <input type="checkbox"/> Others ▶ Please provide an explanation here _____
		<input type="checkbox"/> Country does not issue TIN. <input type="checkbox"/> Country does not require the collection of TIN. <input type="checkbox"/> Others ▶ Please provide an explanation here _____

If you have more than three countries/jurisdictions, please attach a separate sheet.

## Controlling Person 2

### Full Name

\_\_\_\_\_

Date of birth ▶ DD / MM / YY

\_\_\_\_/\_\_\_\_/\_\_\_\_

Controlling person type ▶ Tick only one

- I have direct/indirect ownership of the Entity of 25% or more
- I hold a senior management position / exercise ultimate control over the management of the Entity
- I exercise control over the Entity through other means

### Please provide tax residency(ies) declaration of controlling person:

I am a tax resident of ▶ Tick one or more

- Singapore ▶ Refer to NRIC/FIN as attached. If TIN is not your NRIC/FIN, please specify: \_\_\_\_\_.
- United States of America. My TIN is \_\_\_\_\_.
- Others ▶ Please complete the table below.

Country of Tax Residence <sup>2</sup>	Taxpayer Identification No. (TIN)	If no TIN, indicate reason ▶ Tick only one
		<input type="checkbox"/> Country does not issue TIN. <input type="checkbox"/> Country does not require the collection of TIN. <input type="checkbox"/> Others ▶ Please provide an explanation here _____
		<input type="checkbox"/> Country does not issue TIN. <input type="checkbox"/> Country does not require the collection of TIN. <input type="checkbox"/> Others ▶ Please provide an explanation here _____
		<input type="checkbox"/> Country does not issue TIN. <input type="checkbox"/> Country does not require the collection of TIN. <input type="checkbox"/> Others ▶ Please provide an explanation here _____

If you have more than three countries/jurisdictions, please attach a separate sheet.

## Controlling Person 3

### Full Name

\_\_\_\_\_

Date of birth ▶ DD / MM / YY

\_\_\_\_/\_\_\_\_/\_\_\_\_

Controlling person type ▶ Tick only one

- I have direct/indirect ownership of the Entity of 25% or more
- I hold a senior management position / exercise ultimate control over the management of the Entity
- I exercise control over the Entity through other means

### Please provide tax residency(ies) declaration of controlling person:

I am a tax resident of ▶ Tick one or more

- Singapore ▶ Refer to NRIC/FIN as attached. If TIN is not your NRIC/FIN, please specify: \_\_\_\_\_.
- United States of America. My TIN is \_\_\_\_\_.
- Others ▶ Please complete the table below.

Country of Tax Residence <sup>2</sup>	Taxpayer Identification No. (TIN)	If no TIN, indicate reason ▶ Tick only one
		<input type="checkbox"/> Country does not issue TIN. <input type="checkbox"/> Country does not require the collection of TIN. <input type="checkbox"/> Others ▶ Please provide an explanation here _____
		<input type="checkbox"/> Country does not issue TIN. <input type="checkbox"/> Country does not require the collection of TIN. <input type="checkbox"/> Others ▶ Please provide an explanation here _____
		<input type="checkbox"/> Country does not issue TIN. <input type="checkbox"/> Country does not require the collection of TIN. <input type="checkbox"/> Others ▶ Please provide an explanation here _____

If you have more than three countries/jurisdictions, please attach a separate sheet.

## Residential Address

\_\_\_\_\_

Country

Postal code

\_\_\_\_\_

▶ If your residential address is located in a country that is different from your country(ies) of tax residence, please provide:

(i) a passport, nationality identity card, or a government issued identification document of at least one of the country which you are a tax resident of; and

(ii) explanation below (tick at least one):

- This is one of my many addresses.
- This is the address of a family member / relative / trusted acquaintance (e.g. professional advisor / accountant / personal assistant).
- I am temporarily staying at this address.
- Others ▶ Please provide an explanation here\*

\_\_\_\_\_

## Residential Address

\_\_\_\_\_

Country

Postal code

\_\_\_\_\_

▶ If your residential address is located in a country that is different from your country(ies) of tax residence, please provide:

(i) a passport, nationality identity card, or a government issued identification document of at least one of the country which you are a tax resident of; and

(ii) explanation below (tick at least one):

- This is one of my many addresses.
- This is the address of a family member / relative / trusted acquaintance (e.g. professional advisor / accountant / personal assistant).
- I am temporarily staying at this address.
- Others ▶ Please provide an explanation here

\_\_\_\_\_

**5 Agreement** ▶ To be signed by person(s) authorised to open the Account(s)

I/We confirm that all the information I/we have provided is true, accurate and complete and I/we have not withheld any information. I/We undertake to keep OCBC Bank informed in writing, within 30 days, of any changes in circumstances that may cause any of the information in this Certification Form to become incorrect or incomplete and also undertake to provide any other additional information as may be required by OCBC Bank and/or applicable law.

I/We agree and consent to the terms of OCBC Bank's (I) Data Protection Policy, (II) FATCA Policy and (III) CRS Policy, available at [www.ocbc.com/business-policies](http://www.ocbc.com/business-policies) or upon request, which may require the reporting of information supplied by me/us to relevant tax authorities. The persons named and signing below are duly authorised to complete and sign this Certification Form for and on behalf of the Entity and the Controlling Person(s) (if applicable) and to submit this Certification Form to OCBC Bank.

<p>Signature</p> <p>Name ▶ As per NRIC / Passport</p> <p>NRIC/Passport Number</p> <p>Date ▶ DD/MM/YY</p>	<p>Signature</p> <p>Name ▶ As per NRIC / Passport</p> <p>NRIC/Passport Number</p> <p>Date ▶ DD/MM/YY</p>
<p>Signature</p> <p>Name ▶ As per NRIC / Passport</p> <p>NRIC/Passport Number</p> <p>Date ▶ DD/MM/YY</p>	<p>Signature</p> <p>Name ▶ As per NRIC / Passport</p> <p>NRIC/Passport Number</p> <p>Date ▶ DD/MM/YY</p>

1. **Financial Institution** means a Custodial Institution, a Depository Institution, an Investment Entity, or a Specified Insurance Company.
  - (a) **Custodial Institution** means any entity that **holds**, as a substantial portion of its business, **financial assets for the account of others**.
  - (b) **Depository Institution** means any entity that **accepts deposits** in the ordinary course of a **banking** or similar business.
  - (c) **Investment Entity** means any entity that conducts as a business (or is managed by an entity that conducts as a business) one or more of the three following activities or operations for or on behalf of a customer:
    - i. trading in money market instruments; foreign exchange; exchange, interest rate and index instruments; transferable securities; or commodity futures trading;
    - ii. individual and collective portfolio management; or
    - iii. otherwise investing, administering, or managing funds or money on behalf of other persons.
  - (d) **Specified Insurance Company** means any entity that is an insurance company (or the holding company of an insurance company) that issues, or is obligated to make payments with respect to, a Cash Value insurance Contract or an Annuity Contract.
2. **Tax residency** is defined by each country's local tax laws and may vary from that of another country. As such, it is possible for an entity or a person to be considered a tax resident in more than one jurisdiction. In the event of any doubt, please consult your tax advisor. Guidance on tax residency is also available at the following link: <https://www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/tax-residency/>
3. **Passive income** refers generally to the portion of gross income that consists of: dividends (including substitute dividend payments); interest; income equivalent to interest; rents and royalties (other than those derived in the active conduct of a trade or business conducted, at least in part, by employees); annuities; net gains from the sale of property that gives rise to passive income; net gains from certain transaction in commodities; net foreign currency gains; net income from notional principal contracts; amounts received under cash value insurance contracts; and amounts received by an insurance company in connection with its reserves for insurance and annuity contracts.
4. **Charity and Non-Profit Organisation** means an entity that meets ALL of the following criteria:
  - (a) It is established and operated in its jurisdiction of residence exclusively for religious, charitable, scientific, artistic, cultural, athletic, or educational purposes; or it is established and operated in its jurisdiction of residence and it is a professional organisation, business league, chamber of commerce, labour organisation, agricultural or horticultural organisation, civic league or an organisation operated exclusively for the promotion of social welfare;
  - (b) It is exempt from income tax in its country of residence;
  - (c) It has no shareholders or members who have a proprietary or beneficial interest in its income or assets;
  - (d) The applicable laws of the entity's country of residence or the entity's formation documents do not permit any income or assets of the entity to be distributed to, or applied for the benefit of, a private person or non-charitable entity other than pursuant to the conduct of the entity's charitable activities, or as payment of reasonable compensation for services rendered, or as payment representing the fair market value of property which the entity has purchased; and
  - (e) The applicable laws of the entity's country of residence or the entity's formation documents require that, upon the entity's liquidation or dissolution, all of its assets be distributed to a governmental entity or other non-profit organisation, or escheat to the government of the entity's country of residence or any political subdivision thereof.
5. An established securities market generally refers to a Securities exchange that; (i) is officially recognized, sanctioned, or supervised by a governmental authority of the foreign country in which the market is located; and (ii) has an annual value of shares traded on the exchange(or a predecessor exchange) exceeding \$1 billion during each of the three calendar years immediately preceding the year in which the determination is being made.
6. An entity is a "**Related Entity**" of another Entity if either entity controls the other entity, or two entities are under common control. For this purpose, control includes direct or indirect ownership of more than 50% of the vote or value in an entity.
7. **Controlling Person** is a natural person who exercises control over an entity. Where that entity is treated as a Passive Non-Financial Entity ("NFE") then a Financial Institution must determine whether such Controlling Persons are Reportable Persons. This definition corresponds to the term "beneficial owner" as described in Recommendation 10 and the Interpretative Note on Recommendation 10 of the Financial Action Task Force Recommendations (as adopted in February 2012).

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All information is required unless stated.

## Form B

- ▶ You are only required to complete this section if you are a sole-proprietorship owned by an individual.
- ▶ If you are a sole-proprietorship owned by a business entity (e.g. Pte Ltd, Public Listed Co.), please complete Form A instead.

### 1 Owner details

#### Full Name

\_\_\_\_\_

Date of birth ▶ DD / MM / YY

NRIC/FIN/Passport

\_\_\_\_\_/\_\_\_\_\_/\_\_\_\_\_

\_\_\_\_\_

▶ If your country of birth is the U.S. and you are no longer a U.S. tax Resident<sup>1</sup>, please provide the following documents:

- Certificate of loss of nationality of the U.S.
- Form I-407

#### Residential Address

\_\_\_\_\_

Country

Postal code

\_\_\_\_\_

▶ If your residential address is located in a country that is different from your country(ies) of tax residence, please provide:

- a passport, nationality identity card, or a government issued identification document of at least one of the country which you are a tax resident of; and
- explanation below (tick at least one):

- This is one of my many addresses.
- This is the address of a family member / relative / trusted acquaintance (e.g. professional advisor / accountant / personal assistant).
- I am temporarily staying at this address.
- Others ▶ Please provide an explanation here

\_\_\_\_\_

### 2 Tax declaration ▶ To be declared by owner in his/her individual capacity

I own the sole-proprietorship in my individual capacity and I am a tax resident of ▶ Tick one or more

Singapore ▶ Refer to NRIC/FIN as attached. If TIN is not your NRIC/FIN, please specify: \_\_\_\_\_.

United States of America. My TIN is \_\_\_\_\_.

Others ▶ Please complete the table below.

Country of Tax Residence <sup>2</sup>	Taxpayer Identification No. (TIN)	If no TIN, indicate reason ▶ Tick only one
_____	_____	<input type="checkbox"/> Country does not issue TIN. <input type="checkbox"/> Country does not require the collection of TIN. <input type="checkbox"/> Others ▶ Please provide an explanation here _____
_____	_____	<input type="checkbox"/> Country does not issue TIN. <input type="checkbox"/> Country does not require the collection of TIN. <input type="checkbox"/> Others ▶ Please provide an explanation here _____
_____	_____	<input type="checkbox"/> Country does not issue TIN. <input type="checkbox"/> Country does not require the collection of TIN. <input type="checkbox"/> Others ▶ Please provide an explanation here _____

Provide a passport, nationality identity card, or a government issued identification document of at least one of the country which you are a tax resident of. If you have more than three countries/jurisdictions, please attach a separate sheet.

▶ If any of the country(ies) below that is(are) not your tax residency(ies), please provide an explanation for each country identified. Please tick whichever is applicable (you may tick more than one).

Country(ies) of registered address    Select One

- This is the address of my trusted advisor / acquaintance.
- Others ▶ Please provide an explanation here

\_\_\_\_\_

Country(ies) of mailing address    Select One

- This is one of my many addresses.
- This is the address of a family member / relative / trusted acquaintance. (e.g. professional advisor /accountant / personal assistant).
- I am temporarily staying at this address.
- Others ▶ Please provide an explanation here

\_\_\_\_\_

Country of domicile (place of business)    Select One

- This is the address of a family member / relative / trusted acquaintance. (e.g. professional advisor /accountant / personal assistant).
- Others ▶ Please provide an explanation here

\_\_\_\_\_

### 3 Your business details

Name of Sole-proprietorship

Business registration number

### 4 Agreement ▶ *To be signed by owner in his/her individual capacity.*

I confirm that all the information I have provided is true, accurate and complete and I have not withheld any information. I undertake to keep OCBC Bank informed in writing, within 30 days, of any changes in circumstances that may cause any of the information in this Certification Form to become incorrect or incomplete and also undertake to provide any other additional information as may be required by OCBC Bank and/or applicable law.

I agree and consent to the terms of OCBC Bank's (I) Data Protection Policy, (II) FATCA Policy and (III) CRS Policy, available at [www.ocbc.com/business-policies](http://www.ocbc.com/business-policies) or upon request, which may require the reporting of information supplied by me to relevant tax authorities.

Signature

Date ▶ DD/MM/YY

1. Definition of a U.S. Tax Resident
  - (a) A citizen or permanent resident of the United States (e.g. US Green Card holder or someone who meets the requirements to be considered a resident under the 'substantial presence test'); or;
  - (b) Any other person that is not a foreign person (as defined under US federal tax law).
2. **Tax residency** is defined by each country's local tax laws and may vary from that of another country. As such, it is possible for a person to be considered a tax resident in more than one jurisdiction. In the event of any doubt, please consult your tax advisor. Guidance on tax residency is also available at the following link: <https://www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/tax-residency/>

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## Form C

- ▶ You are only required to complete this section if you are one of the following:
- Financial Institutions (i.e. depository, custodial, investment entity or specified insurance company)
  - Trusts (excluding charitable trusts)
  - Legal arrangements similar to trusts

### 1 Your business details

Registered name ("the Entity")  Business registration number

▶ Select one

Please refer to our particulars contained in attached ACRA Business Profile **OR**  Please refer to our particulars below

Registered Address  Country  Postal Code

### 2 Entity Type ▶ Select by ticking one of the following statements that best describes the Entity

Option	Description	CRS Status	FATCA Status
C1. <input type="checkbox"/>	<b>Financial Institution – Depository Institution, Custodial Institution or Specified Insurance Company</b>	FI	
C2. <input type="checkbox"/>	<b>Investment Entity located in a <u>Non-Participating Jurisdiction</u> and managed by another Financial Institution</b> <i>E.g. Trust that is an Investment Entity where the trustee is located in a <u>Non-Participating Jurisdiction</u>.</i> <b>Please complete Part 3 &amp; 4 below.</b>	FI – Investment Entity (Non-Participating)	Please complete the relevant US IRS Form W-8/W-9 and submit it together with this form.
C3. <input type="checkbox"/>	<b>Other Investment Entity (including Investment Entity located in a <u>Participating Jurisdiction</u> and managed by another Financial Institution)</b> <i>E.g. Trust including trustee-documented trust that is an Investment Entity where the trustee is located in a <u>Participating Jurisdiction</u> <b>OR</b> Asset Manager.</i>	FI – Investment Entity (Other)	
C4. <input type="checkbox"/>	<b>Trust that are not professionally managed by another Financial Institution</b> <b>OR</b> <b>Non-trust entities with arrangements similar to that of a trust.</b> <b>Please complete Part 3 &amp; 4 below.</b>	Passive NFE	Passive NFFE

### 3 Your entity's tax residency(ies)<sup>1</sup> (Only for C2 FI – Investment Entity (Non-Participating) & C4 Passive NFE/NFFE)

The Entity is a tax resident of ▶ Tick one or more

- Singapore ▶ Refer to business registration number for taxpayer identification number (TIN).  
If not, please specify:
- United States of America ▶ Please also complete the IRS W9 form.
- Others ▶ Please complete the table below.

Country of Tax Residence <sup>2</sup>	Taxpayer Identification No. (TIN)	If no TIN, indicate reason ▶ Tick only one
<input type="text"/>	<input type="text"/>	<input type="checkbox"/> Country does not issue TIN. <input type="checkbox"/> Country does not require the collection of TIN. <input type="checkbox"/> Others ▶ Please provide an explanation here <input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="checkbox"/> Country does not issue TIN. <input type="checkbox"/> Country does not require the collection of TIN. <input type="checkbox"/> Others ▶ Please provide an explanation here <input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="checkbox"/> Country does not issue TIN. <input type="checkbox"/> Country does not require the collection of TIN. <input type="checkbox"/> Others ▶ Please provide an explanation here <input type="text"/>

If you have more than three countries/jurisdictions, please attach a separate sheet.

▶ If your country(ies) of incorporation/mailling address/domicile/trustee address differs from your tax residency(ies), please fill up the relevant section.

Country of incorporation

▶ If your tax residence is not where you are incorporated, please provide a government issued document of at least one of the country(ies) which you are a tax resident of.

Select One

- The place of effective management of my entity is different from its place of incorporation.
- The entity is a branch and its head office is tax resident in the declared country of tax residence.
- Others ▶ Please provide an explanation here

\_\_\_\_\_

▶ If your registered address(es) differs from your country incorporation / registration and is located in a jurisdiction that is not your tax residence, please provide an explanation below:

- This is the address of my service provider (e.g. lawyer / corporate secretary / accountant / fund manager / fund administrator, etc.)
- Others ▶ Please provide an explanation here

\_\_\_\_\_

Country(ies) of mailing address

Select One

- This is the address of my service provider (e.g. lawyer / corporate secretary / accountant / fund manager / fund administrator, etc.)
- That is the address of our director / shareholder / authorised signatory.
- Others ▶ Please provide an explanation here

\_\_\_\_\_

Country of domicile (place of business)

Select One

- This is where my service provider is located (e.g. lawyer / corporate secretary / accountant / fund manager / fund administrator, etc.)
- My tax residency is determined by the place of incorporation which is different from my place of business (only applicable if country of incorporation is one of the tax residency(ies)).
- Others ▶ Please provide an explanation here

\_\_\_\_\_

Country(ies) of trustee address (only applicable only when entity is a trust)

Select One

- The address provided is not in the same country as the Trustee's tax residency
- Others ▶ Please provide an explanation here

\_\_\_\_\_

**4 Controlling Person(s)<sup>2</sup> (Only for C2 FI – Investment Entity (Non-Participating) & C4 Passive NFE/NFFE)**

**ONLY** if the Entity has selected C2 FI – Investment Entity (Non-Participating) OR C4 Passive NFE/NFFE in Part 2, please provide details of the controlling person(s).

▶ If you have more than three controlling persons, please attach a separate sheet.

**Controlling Person 1**

**Full Name**

\_\_\_\_\_

**Date of birth** ▶ DD / MM / YY

\_\_\_\_/\_\_\_\_/\_\_\_\_

**Residential Address**

\_\_\_\_\_

**Country**

**Postal code**

\_\_\_\_\_

▶ If your residential address is located in a country that is different from your country(ies) of tax residence, please provide:

(i) a passport, nationality identity card, or a government issued identification document of at least one of the country which you are a tax resident of; and  
(ii) explanation below (tick at least one):

- This is one of my many addresses.
- This is the address of a family member / relative / trusted acquaintance (e.g. professional advisor / accountant / personal assistant).
- I am temporarily staying at this address.
- Others ▶ Please provide an explanation here

\_\_\_\_\_

**Controlling person type** ▶ Tick only one

**Legal Person**

- Control by ownership
- Control by other means
- Senior managing official

**Trust**

- Settlor
- Trustee
- Protector
- Beneficiary
- Others

**Legal Arrangement (Non-trust)**

- Settlor-equivalent
- Trustee-equivalent
- Protector-equivalent
- Beneficiary-equivalent
- Others

**Please provide tax residency(ies) declaration of controlling person:**

I am a tax resident of ▶ *Tick one or more*

- Singapore ▶ *Refer to NRIC/FIN as attached. If TIN is not your NRIC/FIN, please specify:* \_\_\_\_\_.
- United States of America. My TIN is \_\_\_\_\_.
- Others ▶ *Please complete the table below.*

Country of Tax Residence <sup>2</sup>	Taxpayer Identification No. (TIN)	If no TIN, indicate reason ▶ <i>Tick only one</i>
		<input type="checkbox"/> Country does not issue TIN. <input type="checkbox"/> Country does not require the collection of TIN. <input type="checkbox"/> Others ▶ <i>Please provide an explanation here</i> _____
		<input type="checkbox"/> Country does not issue TIN. <input type="checkbox"/> Country does not require the collection of TIN. <input type="checkbox"/> Others ▶ <i>Please provide an explanation here</i> _____
		<input type="checkbox"/> Country does not issue TIN. <input type="checkbox"/> Country does not require the collection of TIN. <input type="checkbox"/> Others ▶ <i>Please provide an explanation here</i> _____

If you have more than three countries/jurisdictions, please attach a separate sheet.

**Controlling Person 2**

Full Name

\_\_\_\_\_

Date of birth ▶ *DD / MM / YY*

\_\_\_\_/\_\_\_\_/\_\_\_\_

Residential Address

\_\_\_\_\_

Country

Postal code

\_\_\_\_\_

▶ *If your residential address is located in a country that is different from your country(ies) of tax residence, please provide:*

- (i) a passport, nationality identity card, or a government issued identification document of at least one of the country which you are a tax resident of; and*
- (ii) explanation below (tick at least one):*

- This is one of my many addresses.
- This is the address of a family member / relative / trusted acquaintance (e.g. professional advisor / accountant / personal assistant).
- I am temporarily staying at this address.
- Others ▶ *Please provide an explanation here*  
\_\_\_\_\_

Controlling person type ▶ *Tick only one*

**Legal Person**

- Control by ownership
- Control by other means
- Senior managing official

**Trust**

- Settlor                       Beneficiary
- Trustee                       Others
- Protector

**Legal Arrangement (Non-trust)**

- Settlor-equivalent                       Beneficiary-equivalent
- Trustee-equivalent                       Others
- Protector-equivalent

**Please provide tax residency(ies) declaration of controlling person:**

I am a tax resident of ▶ *Tick one or more*

- Singapore ▶ *Refer to NRIC/FIN as attached. If TIN is not your NRIC/FIN, please specify:* \_\_\_\_\_.
- United States of America. My TIN is \_\_\_\_\_.
- Others ▶ *Please complete the table below.*

Country of Tax Residence <sup>2</sup>	Taxpayer Identification No. (TIN)	If no TIN, indicate reason ▶ <i>Tick only one</i>
		<input type="checkbox"/> Country does not issue TIN. <input type="checkbox"/> Country does not require the collection of TIN. <input type="checkbox"/> Others ▶ <i>Please provide an explanation here</i> _____
		<input type="checkbox"/> Country does not issue TIN. <input type="checkbox"/> Country does not require the collection of TIN. <input type="checkbox"/> Others ▶ <i>Please provide an explanation here</i> _____
		<input type="checkbox"/> Country does not issue TIN. <input type="checkbox"/> Country does not require the collection of TIN. <input type="checkbox"/> Others ▶ <i>Please provide an explanation here</i> _____

If you have more than three countries/jurisdictions, please attach a separate sheet.

### Controlling Person 3

Full Name

Date of birth ▶ DD / MM / YY

/
 /
 

Residential Address

Country

Postal code



▶ If your residential address is located in a country that is different from your country(ies) of tax residence, please provide:

(i) a passport, nationality identity card, or a government issued identification document of at least one of the country which you are a tax resident of; and  
 (ii) explanation below (tick at least one):

- This is one of my many addresses.
- This is the address of a family member / relative / trusted acquaintance (e.g. professional advisor / accountant / personal assistant).
- I am temporarily staying at this address.
- Others ▶ Please provide an explanation here

Controlling person type ▶ Tick only one

Legal Person

- Control by ownership
- Control by other means
- Senior managing official

Trust

- Settlor
- Trustee
- Protector
- Beneficiary
- Others

Legal Arrangement (Non-trust)

- Settlor-equivalent
- Trustee-equivalent
- Protector-equivalent
- Beneficiary-equivalent
- Others

### Please provide tax residency(ies) declaration of controlling person:

I am a tax resident of ▶ Tick one or more

Singapore ▶ Refer to NRIC/FIN as attached. If TIN is not your NRIC/FIN, please specify: .

United States of America. My TIN is .

Others ▶ Please complete the table below.

Country of Tax Residence <sup>2</sup>	Taxpayer Identification No. (TIN)	If no TIN, indicate reason ▶ Tick only one
<input type="text"/>	<input type="text"/>	<input type="checkbox"/> Country does not issue TIN. <input type="checkbox"/> Country does not require the collection of TIN. <input type="checkbox"/> Others ▶ Please provide an explanation here <input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="checkbox"/> Country does not issue TIN. <input type="checkbox"/> Country does not require the collection of TIN. <input type="checkbox"/> Others ▶ Please provide an explanation here <input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="checkbox"/> Country does not issue TIN. <input type="checkbox"/> Country does not require the collection of TIN. <input type="checkbox"/> Others ▶ Please provide an explanation here <input type="text"/>

If you have more than three countries/jurisdictions, please attach a separate sheet.

### 5 Agreement ▶ To be signed by person(s) authorised to open the Account(s)

I/We confirm that all the information I/we have provided is true, accurate and complete and I/we have not withheld any information. I/We undertake to keep OCBC Bank informed in writing, within 30 days, of any changes in circumstances that may cause any of the information in this Certification Form to become incorrect or incomplete and also undertake to provide any other additional information as may be required by OCBC Bank and/or applicable law.

I/We agree and consent to the terms of OCBC Bank's (I) Data Protection Policy, (II) FATCA Policy and (III) CRS Policy, available at [www.ocbc.com/business-policies](http://www.ocbc.com/business-policies) or upon request, which may require the reporting of information supplied by me/us to relevant tax authorities.

The persons named and signing below are duly authorised to complete and sign this Certification Form for and on behalf of the Entity and the Controlling Person(s) (if applicable) and to submit this Certification Form to OCBC Bank.



Signature

Name ▶ *As per NRIC / Passport*

\_\_\_\_\_

NRIC/Passport Number

\_\_\_\_\_

Date ▶ *DD/MM/YY*

\_\_\_\_/\_\_\_\_/\_\_\_\_

Signature

Name ▶ *As per NRIC / Passport*

\_\_\_\_\_

NRIC/Passport Number

\_\_\_\_\_

Date ▶ *DD/MM/YY*

\_\_\_\_/\_\_\_\_/\_\_\_\_

Signature

Name ▶ *As per NRIC / Passport*

\_\_\_\_\_

NRIC/Passport Number

\_\_\_\_\_

Date ▶ *DD/MM/YY*

\_\_\_\_/\_\_\_\_/\_\_\_\_

Signature

Name ▶ *As per NRIC / Passport*

\_\_\_\_\_

NRIC/Passport Number

\_\_\_\_\_

Date ▶ *DD/MM/YY*

\_\_\_\_/\_\_\_\_/\_\_\_\_

Please refer to Footnotes 1 and 7 on Form A for the meaning of Financial Institution and Controlling Person.

1. **Tax residency** is defined by each country's local tax laws and may vary from that of another country. As such, it is possible for an entity or a person to be considered a tax resident in more than one jurisdiction. In the event of any doubt, please consult your tax advisor. Guidance on tax residency is also available at the following link: <https://www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/tax-residency/>
2. **Type of controlling person** and its definition is listed as below:

Type of Controlling Person	Description
Legal Person – Control by ownership	Individual who exercises control through ownership interest (e.g. owning more than 25% of the company in value or voting power).
Legal Person – Control by other means	Individual who exercises control / is entitled to exercise control through other means (e.g. personal connections to persons in positions that possess ownership).
Legal Person – Senior managing official	Individual who holds the position of senior managing official responsible for strategic decisions that fundamentally affect the business practices or general direction of the entity or exercises executive control over the daily or regular affairs of the legal person.
Trust – Settlor	Settlors are natural or legal persons who transfer ownership of their assets to trustees by means of a trust deed or similar arrangement.
Trust – Trustee	A trustee holds the legal title to the trust property and has a duty to administer and deal with the trust property in the interests of the beneficiaries in accordance with the terms agreed with the settlor upon set up of the trust.
Trust – Protector	A protector enforces and monitors the trustee's actions, such as overseeing investment decisions or authorising a payment to a beneficiary.
Trust – Beneficiary	Beneficiary or member of the class of beneficiaries.
Trust – Other	Other (e.g. individual who exercises control over another entity being the settlor/trustee/protector/beneficiary).
Legal Arrangement (non-trust) – Settlor-equivalent	Individual in a position equivalent/similar to settlor.
Legal Arrangement (non-trust) – Trustee-equivalent	Individual in a position equivalent/similar to trustee.
Legal Arrangement (non-trust) – Protector-equivalent	Individual in a position equivalent/similar to protector.
Legal Arrangement (non-trust) – Beneficiary-equivalent	Individual in a position equivalent/similar to beneficiary or member of the class of beneficiaries.
Legal Arrangement (non-trust) – Other-equivalent	Other (e.g. individual who exercises control over another entity being equivalent/similar to settlor/trustee/protector/beneficiary).

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